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14. ABSTRACT Current prostate cancer (PCa) management calls for identifying novel and more effective therapeutic approaches that could target therapy resistant self-renewing prostate tumor-initiating cells (TICs). Our focus is on BMI-1 (B-cell-specific MMLV insertion site-1), a protein that regulates stem cell self-renewal. During the first two years of this award and in collaboration with the initiating and other partnering PIs, we have developed and optimized a time-of-adherence assay to identify TICs that we demonstrated to have CD49b ^{hi} CD29 ^{hi} CD44 ^{hi} cell phenotype. This year, we examined the first known translational inhibitors of BMI-1; C-209 to target prostate TICs alone and in combination with taxotere, the standard of care. Employment of this specific BMI-1 inhibitor on patient-derived cells significantly decreased spheroid formation <i>in vitro</i> and prevented tumor initiation <i>in vivo</i> in mice (Bertino Lab), thereby diminishing the frequency of TICs from a large number of patients' tissues. Furthermore, C-209 induced cell senescence, G1 cell cycle arrest, and reduced intratumor BMI-1 levels, while displaying antitumor activity in mouse xenografts did not exert toxic effects on normal tissues. BMI-1 targeted therapy when combined with taxotere resulted in further antitumor activities. Therefore, we have accomplished our third year's goal to demonstrate the beneficial effects of targeting prostate TICs <i>in vivo</i> in mice in this synergistic award between three laboratories (Sabaawy, Bertino, and Kim) to develop a therapeutic strategy for BMI-1 inhibitors in prostate cancer.					
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Preprint of Bansal et. al., *Cell Res.*, submitted. (Manuscript, supplemental material and Editorial and peer review comments).

A. INTRODUCTION

We developed a combined immunophenotypic and time-of-adherence assay to identify human prostate TICs with increased BMI-1 expression. Our goal is to identify and subsequently develop a new class of bioavailable small molecules that inhibit tumor growth by selectively reducing BMI-1 production. The approved statement of work (SOW) described synergistic efforts of three laboratories; my laboratory: partnering-PI (Joseph Bertino, MD; contract #W81XWH-12-1-0250); that of the initiating PI (Hatem Sabaawy, MD, PhD; contract #W81XWH-12-1-0249); and partnering-PI (Isaac Kim, MD, PhD; contract #W81XWH-12-1-0251) to ensure the achievement of this goal.

Three central elements are investigated in parallel in the three laboratories for this project; 1) Isolation and characterization of primary prostatectomy tissue (Kim Lab), 2) Drug screening for BMI-1 inhibitors utilizing zebrafish xenografts (Sabaawy Lab) and prostate cancer cell lines (Bertino Lab), and 3) Confirmation of the antitumor activity of C-209 in mouse xenografts alone and upon combination with taxotere (Bertino Lab).

The following tasks from **the approved SOW** were performed to achieve the goal of defining the strategy for use of effective BMI-1 inhibitors in future trials:

Task #1. Completed by Kim Lab.

Task #2. Models of primary prostate xenografts in mice (Bertino Lab). The TICs that were isolated from the Kim lab and given to us were examined for tumor growth characterization. Once mouse xenografts were established, treatment with C-209 resulted in a significant antitumor activity (See Figs. 5 and 7 of Bansal et al., *Cell Res.* manuscript in revision that is attached in the Appendix).

Task #3. Completed by Sabaawy Lab.

Task #4. We examined antitumor effects of the BMI-1 inhibitor C-209 in mice and in combination therapy. (See Fig. 7e-g of Bansal et al., *Cell Res.* manuscript in revision that is attached in the Appendix).

Task #5. We selected C-209 as the candidate BMI-1 inhibitor and examined *in vivo* effects in mice to confirm the *in vivo* zebrafish xenograft assays that were completed at the Sabaawy lab. The antitumor activities were in mice were similar to those found in zebrafish xenografts.

From the above experiments performed in the third year of the project, we have determined that C-209 is the BMI-1 inhibitor that was successfully used for *in vivo* studies in mice, and similar conclusions were achieved from zebrafish studies (Sabaawy Lab), therefore suggesting that this compound may be further pursued for PCa therapy. We have published one manuscript¹ from the studies of the first year and generated more data for the second manuscript (see appendix) on the characterization of the BMI-1 inhibitor C-209 that has been submitted to *Cell Res.* and is currently under revision.

B. BODY (Experiments performed at the Bertino Lab)

Treatment of advanced prostate cancer (PCa) has been challenging with limited success². Although several agents such as abiraterone, cabazitaxel, denosumab, sipuleucel-T became available during 2010 to 2015 for managing castration resistant PCa (CRPC), these therapies only marginally extend median survival by ~3 months^{3,4}, and resistance to these treatments are emerging. There is a dire need for therapies that are safe, efficacious, and cost-effective for treating CRPC, and can be used in early disease to prevent metastasis.

A fraction of PCa cells acquire and/or retain tumor initiation and self-renewal potentials, therefore termed TICs^{5,6}. We have identified prostate TICs from primary tissues that are collagen-adherent $\alpha 2\beta 1^{\text{hi}}$ /CD44^{hi} cells⁷. Recent experimental and clinical studies have identified BMI-1 as a member of the polycomb family of chromatin remodeling complexes that act as transcriptional repressors for epigenetic chromatin modification. BMI-1 encodes a zinc finger protein that forms a key rate-limiting regulatory component of the polycomb repressor complex (PRC1) regulating cellular transcription. PRC1 enzymatic activities include DNA methylation of CpG islands and global mono-ubiquitination of histone 2A. Our data demonstrate that upregulated BMI-1 levels correlate with advanced PCa. PCa TICs can self-renew and also generate non-TIC progeny⁵. Prostate TICs survive treatment due to their intrinsic resistance to current therapies^{8,9}. BMI-1 is a central player in PCa progression as it controls growth signals¹⁰⁻¹⁵, regulates oncogenic microRNAs¹⁶, and induces metastasis markers¹⁷. BMI-1 is overexpressed at levels much higher in cancer cells vs. normal cells¹⁸, and contributes to therapy resistance, in particular in advanced and/or metastatic PCa^{10,18,19}. Importantly, the strongest BMI-1 expression is observed in tissues^{20,21}, and plasma²²⁻²⁵ of highly aggressive tumors undergoing metastasis. Notably, BMI-1 protein levels in serum of PCa patients correlate with increased serum PSA²⁶. Therefore, BMI-1 is an excellent biomarker for advanced PCa, and targeting BMI-1 is a compelling therapeutic approach.

In the third year, we performed experiments to show that knockdown of BMI-1 inhibits cell proliferation and results in growth arrest (see Fig. 3B in Accomplished tasks). These data confirms the data generated from another group¹¹, whereas BMI-1 overexpression promotes anchorage independent growth and cell invasion¹². With recent sequencing of pancreatic and kidney cancers^{27,28} and determination of mutational landscape of PCa²⁹, an unexpected intratumor heterogeneity was revealed. A common feature of these heterogeneous clones is self-renewal, a feature that can be effectively targeted by inhibiting BMI-1.

We confirmed that primary PCa adherent $\alpha 2\beta 1^{\text{hi}}$ /CD44^{hi} TICs in mouse xenografts⁷ overexpress BMI-1 (see accomplished tasks below; Figs. 1-2). TICs are comprised of heterogeneous subpopulations with multiple phenotypes³⁰. Prostate TICs and invasive cells are enriched for CD44³¹, suggesting an intriguing mechanism of initiating PCa invasion through basement membrane degrading activity of CD44^{hi} TICs. In summary, BMI-1 is a critical target in PCa and developing BMI-1 inhibitors will provide novel and effective therapy for PCa treatment.

C. ACCOMPLISHED TASKS

Task1. Evaluation of BMI-1 expression in PCa.

This task was completed by the Kim Lab.

Task2. Isolation of prostate TICs using phenotypic and time-of-adherence assay. Our experimental approach allowed us to identify prostate TICs using a combination of time-of-adherence and phenotypic assays. We isolated CD44^{hi} and $\alpha_2\beta_1$ -integrin^{hi} prostate TICs from prostatectomy tissues and PCa cell lines upon enrichment with collagen adherence⁷.

On the basis of rapid adhesion on collagen, PCa cells were plated on a collagen-I dish for 5 min (5'=rapidly adherent) (3-5% of cells) were enriched for TICs by sorting the $\alpha_2\beta_1$ ^{hi}/CD44^{hi} cells. The sorted adherent cells upregulated CD133 (From 0.01% to ~3%), and this fraction showed superior ability to form tumors in mice⁷. The $\alpha_2\beta_1$ ^{hi}/CD44^{hi} cells have significantly higher colony forming efficiency, increased migration, and increased invasion abilities vs. $\alpha_2\beta_1$ ^{low}/CD44^{low} cells⁷. The ability of the $\alpha_2\beta_1$ ^{hi}/CD44^{hi} TICs to self-renew was tested in serial spheroid assays. Disaggregated primary spheroids from $\alpha_2\beta_1$ ^{hi}/CD44^{hi} cells reformed spheroids in 2^{ry} and 3^{ry} assays, whereas those from $\alpha_2\beta_1$ ^{low}/CD44^{low} cells formed only cell clusters in 2^{ry} assays, reflecting their limited stemness⁷. Thus, adherent $\alpha_2\beta_1$ ^{hi}/CD44^{hi} cells are more tumorigenic *in vitro*, and in mice, therefore fulfilled the criteria of TICs.

We utilized this phenotypic and functional adherence assay to analyze BMI-1 expression (Figure 1A). Indeed, the rapidly adherent DU145 cells were enriched in the CD49b^{hi}CD29^{hi}CD44^{hi} phenotype (Figure 1B and 1C), and significantly overexpressed BMI-1, both at the RNA (Figure 1D) and protein levels (Figure 1E and 1F, and Figure 2A). Furthermore, as expected, the rapidly adherent CD49b^{hi}CD29^{hi}CD44^{hi} TICs were enriched for the other prostate TIC markers integrin- α_6 (CD49f) and TROP; ^{32,33} (Figure 2B), suggesting that BMI-1 is enhanced in the more tumorigenic cell compartment¹ of PCa. To further confirm our results and model, we also assessed BMI1 expression upon sorting of the high and low CD49CD29CD44 cells and found the same outcomes (Figure 2C).

To study whether BMI-1 expression modulates the levels of TICs, we employed the collagen adherence assay after lentiviral-mediated knockdown. Loss of BMI-1 in sh-BMI-1 DU145 cells resulted in a significant (~40%) decrease in the numbers of rapidly adherent CD49b^{hi}CD29^{hi}CD44^{hi} cells, suggesting an impact of BMI-1 on the TIC population.

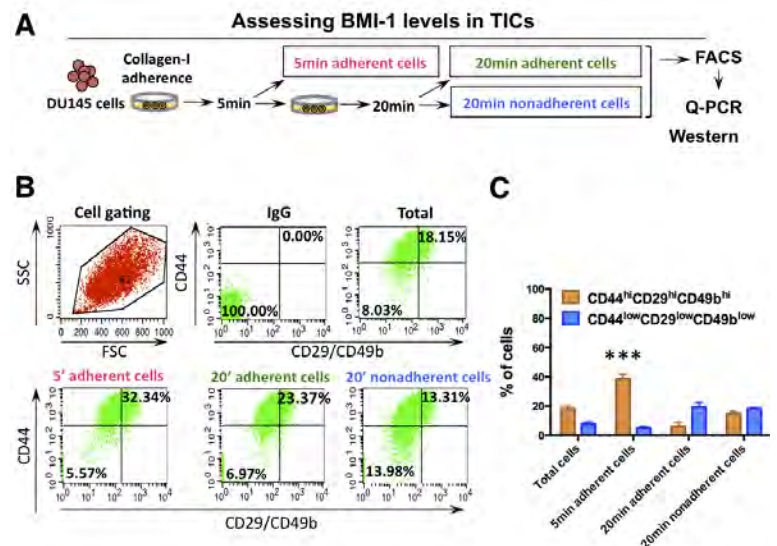


Figure 1. Assessment of BMI-1 in PCa TICs isolated by combined adherence and phenotypic assays. (A) Diagram depicting the combined time of adherence and phenotypic assay used to isolate TICs from DU145 cells and assess BMI-1 expression in different subpopulations of cells. (B) Flow cytometric analyses. (C) Percentage of cells identified by flow cytometry to express the TIC phenotype.

Task3. Evaluate BMI-1 inhibitors in targeting prosate TICs.

To determine if the 5 min-adherent cell fraction contains TICs, $\alpha 2\beta 1^{hi}/CD44^{hi}$ DU145 cells within this fraction were examined by colony formation, and migration and invasion assays. Cells within the 5 min-adherent cell fraction with an $\alpha 2\beta 1^{hi}/CD44^{hi}$ phenotype showed a nearly 2-fold higher colony formation, and increased migration and invasion abilities as compared to $\alpha 2\beta 1^{low}/CD44^{low}$ cells⁷.

An essential characteristic of TICs is their ability to self-renew in serial plating assays. The $\alpha 2\beta 1^{hi}/CD44^{hi}$ DU145 cells formed significantly more single cell-derived spheroids as compared to $\alpha 2\beta 1^{low}/CD44^{low}$ cells. Moreover, spheroids formed from $\alpha 2\beta 1^{low}/CD44^{low}$ primary cells were fewer after day-5, and stopped growing after day-9 suggesting that these cells lack self-renewal abilities. To assess self-renewal at an earlier time point of sphere formation, single cells derived from day 7-primary spheroids were replated for secondary spheroid formation assays. Once again, $\alpha 2\beta 1^{hi}/CD44^{hi}$ primary cells generated significantly more spheroids than $\alpha 2\beta 1^{low}/CD44^{low}$ cells. Collectively, the $\alpha 2\beta 1^{hi}/CD44^{hi}$ prostate cancer cells exhibit enhanced tumorigenic, invasive, and self-renewal abilities.

To assess the value of a new treatment, primary patient-derived cells represent a much more relevant model compared to cell lines. Despite the known difficulties in culturing primary PCa cells *in vitro*, even if for brief periods, we have recently successfully maintained primary PCa cells endowed with self-renewal and *in vivo* tumorigenic potential in culture¹. Therefore, we examined C-209 treatment in a panel of short-term cultures from primary PCa cells differentially expressing BMI-1. Exposure of patient-derived PCa cells to C-209 resulted in significant BMI-1 downregulation (Figure 3A) followed by antitumor activity at an IC₅₀ lower but not significantly different from that found in DU145 cells (Figure 3B). Notably, a significant downregulation of BMI-1 was not observed in patient-derived normal counterparts treated with C-209 (Figure 3A). Remarkably, as observed with tumor cell lines, treatment with C-209 caused a critical reduction in the rapidly adherent $CD49b^{hi}CD29^{hi}CD44^{hi}$ (TIC) population in primary PCa cultures (Figure 3C, left and right panels). In contrast, treatment with docetaxel, a first line treatment for advanced PCa³⁴, resulted in enrichment of the highly aggressive $CD49b^{hi}CD29^{hi}CD44^{hi}$ TICs.

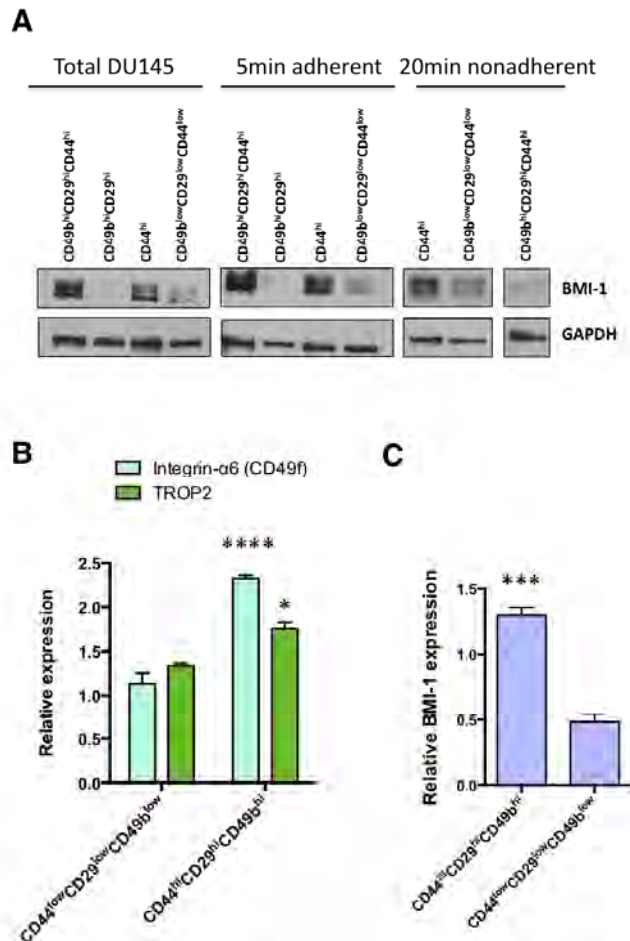


Figure 2. BMI-1 expression in subpopulations of DU145 cells. (A) Western blot analysis for BMI-1 expression in subpopulations of adherent, nonadherent and/or sorted DU145 cells. GAPDH was used for equal loading. (B) Q-PCR analyses of CD49f (integrin- $\alpha 6$) and TROP2 in subpopulations of DU145 cells. (C) Q-PCR analyses of BMI-1 in subpopulations of DU145 cells. Comparison of the differences in relative expression between each subpopulation and total $CD49b^{low}CD29^{low}CD44^{low}$ DU145 cells was determined using Mann-Whitney U test. Graph indicates significant enrichment of CD49f (**** $p < 0.0001$) TROP2 (* $p < 0.05$) in b and BMI-1 (** $p < 0.001$) in c, in the 5min adherent $CD49b^{hi}CD29^{hi}CD44^{hi}$ cells vs. $CD49b^{low}CD29^{low}CD44^{low}$ DU145 cells. Results are mean \pm S.D. of 3 experiments.

The effectiveness of any targeted therapy is based on the absence of relapse and/or secondary clonal lesions³⁵. Since TICs account for tumor progression by the virtue of their treatment-resistance, self-renewal and tumor-seeding capacity³⁶, it is reasonable to deduce that the efficacy of a TIC-tailored strategy relies on a diminished clonogenic and tumorigenic capacity.

In order to evaluate C-209 efficiency in targeting patient-derived TICs, we pre-treated distinct primary PCa cells for several days with either C-209 or docetaxel. Subsequently, to investigate the long-term impact of treatments, particularly in a post therapy discontinuation setting, cells were washed and replated. Cell rescue and soft agar assays were assessed to evaluate differences in cell survival and colony-forming repopulation abilities. Interestingly, both docetaxel and C-209 treatments impaired short-term survival of primary PCa, although C-209 to a more significant extent (Figure 3D). Critically, patient-derived PCa cells maintained the ability to form colonies after single treatments with docetaxel but significantly less with C-209 (Figure 3E), indicating that BMI-1 inhibition impairs survival and clonogenic activity of primary PCa TICs. BMI-1 has been implicated in PCa metastasis¹⁹. Since loss of function of BMI-1 impaired PCa cell migration, we assessed the post-treatment propensity of patient-derived PCa cells to migrate in modified Boyden chambers. We found that, while docetaxel-treated cell migratory potential was almost unchanged, C-209-exposure significantly diminished their motility (Figure 3G), thus suggesting a notable role for BMI-1 in cancer dissemination.

Task4. Drug discovery of BMI-1 inhibitors.

When targeting TICs, an important concern is the effect on “normal” cell compartments. Zebrafish embryos, are valuable models for *in vivo* drug toxicity studies³⁷.

In toxicological assays, C-209, C210, and C-211 had no notable effects on zebrafish development at their respective IC₅₀s. However, at higher doses, C-210 and C-211 impeded embryo hatching and caused embryo curling, thus suggesting narrow safety margins. In contrast, zebrafish embryonic development and survival were not impacted by C-209 treatment at the IC₅₀ conc. These data prompted us to dismiss C-210 and 211 inhibitors and focus on establishing the safety profile of C-209. To provide evidence that the effects of C-209 were not related to a general disruption of mRNA translation, zebrafish embryos were exposed in parallel to the universal translational inhibitor CHX. CHX treatment resulted in developmental arrest and profound embryonic toxicity at low concentrations, while embryos treated with C-209 at 3mM survived and progressed normally throughout development. To confirm these data from the partnering PI (Sabaawy Lab), we investigated the effects of C-209 treatment in mammalian cells and mice in order to further assess C-209 adverse effects on normal mammalian tissues. BMI-1 inhibition was also tested on normal prostate epithelial

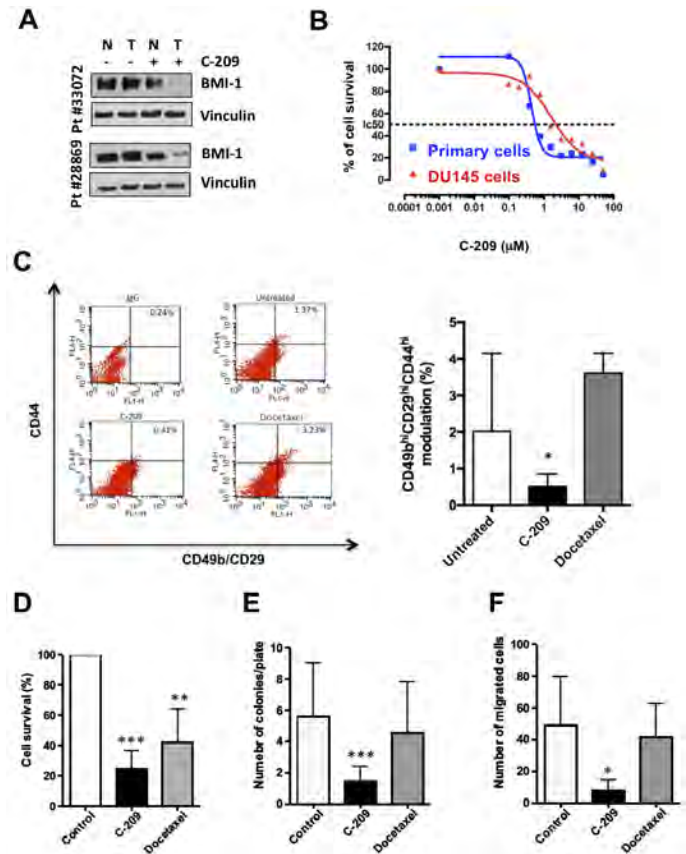


Figure 3. Antitumor activities of C-209 against patient-derived TICs. (A) BMI-1 expression levels assessed in normal (N) and tumoral (T) patient-derived samples before and after C-209 (2 μM) treatment for 72hrs. (B) Antitumor activity of C-209 in DU145 and primary PCa cells. Percentage of survival was evaluated by MTS assay. (C) Representative cytofluorimetric analysis (left panel) and graphical plotting (right panel) of TIC modulation in primary patient-derived cells untreated and treated with C-209 (2 μM) and docetaxel (2.5 nM) for 72h. (D-F) Primary PCa cell survival, clonogenicity and motility assessed after pre-treatment with DMSO, C-209 (2 μM) or docetaxel (2.5 nM) for 96hrs. Data are displayed as mean percentage ± S.D. Single independent experiments were performed with four to eight distinct patient-derived cells. * $p < 0.05$, ** $p < 0.01$ and *** $p < 0.001$.

RWPE1 cells by examining clonogenic potential pre- and post-C-209 treatment. While C-209 drastically reduced DU145 PCa colony formation, RWPE1 proclivity than DU145 cancer cells, BMI-1 inhibition was mostly ineffective (Figure 4A). Furthermore, because self-renewal of hematopoietic stem and progenitor cells (HSPCs) is vital for the sustained production of blood cells³⁸, we evaluated the clonogenic capacity of primary human CD34⁺ HSPCs upon treatment with C-209 and observed no significant effect (Figure 4B). Additionally, treatment of mice with C-209 did not induce any anemia and/or thrombocytopenia, nor histological changes were observed in the bone marrow of treated vs. untreated mice (Figure 4C), suggesting that dosage limited targeting of BMI-1 might have more effective role(s) in inhibiting the clonogenic potential of tumor versus normal stem cells.

To examine whether C-209 treatment affects tumor response and TIC survival in a murine model, we employed a strategy aimed at unraveling the targeting of self-renewing TICs (Figure 5A). We injected rapidly adherent CD49b^{hi}CD29^{hi}CD44^{hi} DU145 TICs¹, that were previously infected with a lentiviral vector encoding luciferase2/enhanced green fluorescent protein (Luc2/EGFP), into NOD-SCID-IL-2R null (NSG) mice. Tumors, allowed to grow until the size of ~100 mm³, were treated with C-209 or the chemotherapeutic agent docetaxel for ~2 weeks (Figure 5B). At the end of treatments, while vehicle-treated tumors grew exponentially and docetaxel exerted a minimal effect on xenografts growth, C-209-treated tumors were significantly inhibited (Figure 5B). Additionally, since tumor relapse is frequently observed following treatment discontinuation, tumor volume was monitored with an electronic caliper for additional 15 days. Noticeably, at the end of this period, the results in Figure 5B, while revealing a significant difference in tumor growth between docetaxel-treated and untreated mice, show an even higher disparity in C-209-treated xenografts, thus, corroborating the efficiency of anti-BMI-1-based therapy. Severe tumor damage indicated by the large necrotic areas was present two weeks after the last delivery of chemotherapy and C-209 (Figure 5C). In line with this, Ki67+ cells in grafts with reduced nuclear BMI-1 and surface CD44 expression from C-209 treated mice were significantly lower when compared to controls (Figure 5D and 5E).

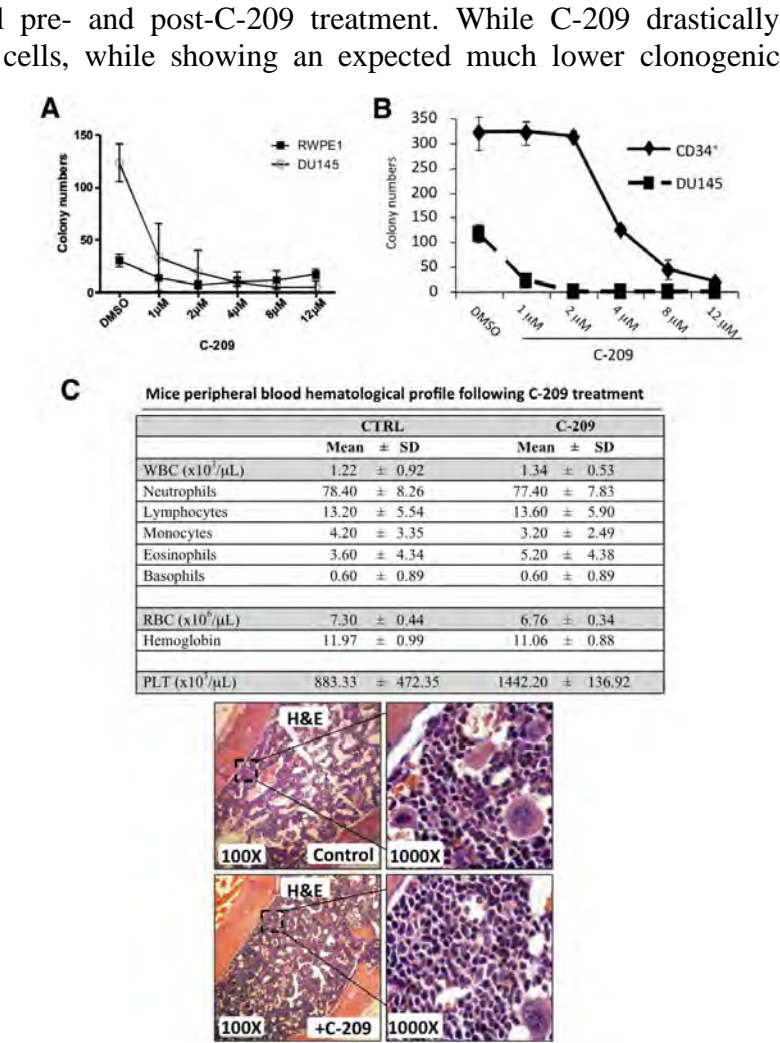


Figure 4. BMI-1 inhibition effect on the normal prostate and hematopoietic system. (A) Normal epithelial RWPE1 and tumoral DU145 prostate cells were treated with the indicated concentration of C-209 for 72h. Subsequently, cells were collected, counted and 200 cells for each condition were plated to assess colony-forming efficiency. Data plotted represent four independent experiments ($p < 0.0001$ between DMSO and 1-12 μM in DU145 cells, NS between DMSO and 1-12 μM in RWPE1 cells). (B) Human CD34⁺ cells grown in methocult for hematopoietic colony assays and 200 DU145 cells were plated in 6-well tissue culture dishes and treated in parallel with C-209 at the indicated concentrations. Colony counts represent three independent experiments ($p < 0.0001$ at 1-4 μM). C, Upper panel: Peripheral blood parameters of C-209-untreated and -treated mice (C-209 60mg/kg/day for ~2 weeks). All mice survived treatments with no apparent phenotypic changes. Peripheral blood was obtained from cardiac puncture bleeding and analyzed within 4hrs from mice sacrifice. N=9 mice/group were analyzed. Student's t-test comparing CTRL and C-209 treated mice indicates no significant differences between the two groups. Lower panel: hematoxylin/eosin staining of bone marrow biopsy sections from the femur derived at day 14 from the treated and untreated (Control) mice. Notice the similar cellularity of the bone marrow of the treated and control mice. The smears demonstrated the presence of heterogeneous cell types including the larger megakaryocytic lineages. Representative images were taken with 10 \times and 100 \times objectives.

To investigate whether C-209 treatment was able to target TICs *in vivo*, clonogenic assays *ex vivo* and serial transplantations were assessed from treated and untreated xenografts (Figure 5A). Interestingly, the clonogenic potential of cells dissociated from C-209-treated tumors was significantly reduced. To determine the frequency of cells having clonogenic, hence tumorigenic function, in the mixed tumor bulk population, we performed *ex vivo* a limiting dilution assay between C-209-treated and untreated xenograft-derived cells. While control-derived cells were highly clonogenic, C-209-treated xenograft-derived cells generated colonies at a lower frequency. Importantly, while both control- and docetaxel-xenograft derived cells could be serially transplanted in secondary recipients, the graft repopulation of C-209-treated tumors was significantly reduced, thus demonstrating that BMI-1 targeting is effective against tumor-propagating cells.

Collectively, our data demonstrate that C-209, a novel small molecule that targets post-transcriptional regulation of BMI-1, displays mutually effective anti-TICs and antitumor activities in both zebrafish model (Sabaawy Lab) and our mouse PCa xenografts (Bertino Lab).

In **SUMMARY**, we confirmed our recently published data⁷ for identification of TICs in additional primary PCa tissues. We have examined a number of prostate cancer patients with adenocarcinomas and showed that BMI-1/CD44 correlate in high-grade histological and clinical prostate cancer. We confirmed the activity of C-209 in mouse xenografts and further confirmed these findings in secondary assays of cells dissociated from these xenografts. We will continue to study the mechanism of action of BMI-1 inhibitor C-209 in targeting TICs in primary PCa⁷ and mouse xenografts, and develop a defined rational for combination therapies during the extension year of this project.

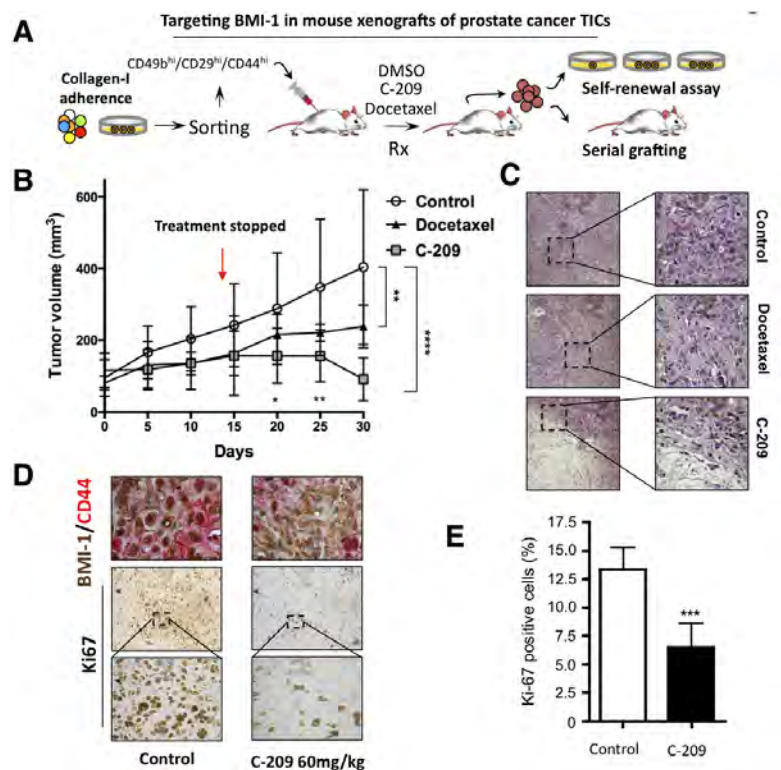


Figure 5. *In vivo* pharmacological targeting of BMI-1 in mouse PCa xenografts. (A) Strategy for examining the antitumor activity of C-209 in serial mouse xenografts and clonogenic repopulation assays of treated cells. (B) Growth rate of mouse xenografts generated after subcutaneous (SC) injection of CD49b^{hi}CD29^{hi}CD44^{hi} Luc2EGFP cells. Mice were randomized and administered daily with 60 mg/kg/day of C-209 for ten days and docetaxel 6mg/kg once a week for two consecutive weeks. Results are mean \pm S.D. of six independent experiments. Comparison of tumor volumes between the three groups was determined by two-way ANOVA with Bonferroni post-hoc test. Graph indicates significance of Docetaxel vs. Control at day 30 (** $p < 0.01$) and C-209 vs. Control at day 30 (*** $p < 0.0001$). There was a trend towards significance ($p = 0.08$) when comparing tumor volumes in xenograft treated with C-209 vs. Docetaxel at day 30 using Mann-Whitney U test. At the earlier days 20 and 25, Docetaxel was not significantly different than Control, while C-209 was; C-209 vs. Control at day 20 (* $p < 0.05$); C-209 vs. Control at day 25 (** $p < 0.01$). Red arrow indicates treatment discontinuation. In each experiments, $n = 8/\text{group}$. (C) Representative H&E staining of mouse xenograft sections showing histological effects of treatments. (D) Intratumor IHC revealed reduced nuclear BMI-1 (brown) and surface CD44 (red) staining upon treatment with C-209. (E) Quantitation of Ki67 positive cells in sections from treated xenografts. (*** $p < 0.001$).

D. KEY RESEARCH ACCOMPLISHMENTS

- We developed a combined immunophenotypic and time-of-adherence assay to identify human prostate tumor initiating cells (TICs). These studies were recently published.
- We recruited 24 patients with prostate adenocarcinoma, and found increased BMI-1 expression in cancer tissues compared to the adjacent normal tissues. These studies will continue with additional patients' tissues in the next year.
- Utilizing primary PCa cells and mouse xenograft model, we identified the first known translational inhibitors of BMI-1 that target prostate TICs. The BMI-1 inhibitor C-209 induced prostate cancer cell senescence, and G1 cell cycle arrest.
- Targeting of BMI-1 with C-209 in prostate cancer significantly reduced clonogenic, migration, and invasion abilities of TICs, and increased cellular senescence.
- Treatment of mouse xenografts with the BMI-1 inhibitor C-209 reduced the clonogenic, migration, and invasion potentials of PCa cells in secondary assays from cells dissociated from treated xenografts.
- These data support a paradigm of therapeutically targeting TICs in prostate cancer with C-209.

E. REPORTABLE OUTCOMES

A second manuscript from this award:

Bansal N, Bartucci M, Yussuf S, Davis S, Flaherty K, Huselid E, Patrizii M, Jones D, Cao L, Sydorenko N, Moon Y Zhong H, Medina DJ, Kerrigan J, Stein MN, Kim IY, Davis T, DiPaola RS, Bertino JR, Sabaawy HE. **Selective BMI-1 targeting interferes with patient derived tumor-initiating cell survival and tumor growth in prostate cancer.** *Cell Res.* (submitted). (Manuscript, supplemental material and Editorial and peer review comments are attached in the appendix).

F. CONCLUSION

Prostate tumor-initiating cells (TICs) have intrinsic resistance to current therapies. BMI-1 (B-cell-specific MMLV insertion site-1) regulates stem cell self-renewal, and is over-expressed in TICs. We developed a combined immunophenotypic and time-of-adherence assay to identify human prostate TICs with increased BMI-1 expression. Tumor initiation and dissemination were consistently observed in the immune-permissive NSG mice microenvironment, generating a model for primary prostate adenocarcinomas. Utilizing the mouse xenograft model, we identified the first known translational inhibitors of BMI-1 that target prostate TICs. BMI-1 inhibitors induced prostate cancer cell senescence, and G1 cell cycle arrest. Targeting of BMI-1 significantly reduced clonogenic, migration, and invasion abilities of TICs, and increased cellular senescence. Treatment of mouse xenografts with the BMI-1 inhibitor C-209 inhibited tumor growth and was additive when combined with taxotere in mouse xenografts. Therefore, we have accomplished our goal to demonstrate the beneficial effects of targeting prostate TICs with BMI-1 inhibitors during the second year of this project. This work also resulted in a publication demonstrating our ability to isolate and propagate primary prostate cancer TICs during the second year and a second manuscript submitted to *Cell Res*. The next phase of studies will further examine the roles of BMI-1 targeted therapy in prostate cancer from additional primary tissues, and specifically examine the value of combining TICs-targeted therapy using BMI-1 inhibitors with common therapies targeting the bulk of prostate cancer such as taxotere from prostatectomy tissues in order to develop a therapeutic strategy for prostate cancer treatment by the completion of the project.

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H. APPENDIX

Preprint of manuscript and supplemental data:

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Abstract	Current prostate cancer (PCa) management calls for identifying novel and more effective therapeutic approaches. Self-renewing tumor-initiating cells (TICs) hold intrinsic therapy-resistance and account for tumor relapse and progression. BMI-1 regulates stem cell self-renewal, thus impairing BMI-1 function for TICs-tailored therapies appears to be a promising approach. We have previously developed a combined immunophenotypic and time-of-adherence assay to identify CD49bhiCD29hiCD44hi cells as human prostate TICs. Here we show that in TICs, BMI-1 expression is upregulated and associated with stem cell-like traits. Pharmacological inhibition of BMI-1 in patient-derived cells significantly decreased colony formation in vitro and attenuated tumor initiation in vivo, thereby functionally diminishing the frequency of TICs. Furthermore, BMI-1 inhibition, while displaying antitumor activity in both zebrafish and mouse xenografts, did not exert toxic effects on normal tissues. These data offer a paradigm for targeting TICs and support the development of BMI-1-related therapy for more effective PCa treatment.
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BMI-1 targeting interferes with patient-derived tumor-initiating cell survival and tumor growth in prostate cancer

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Abstract

Current prostate cancer (PCa) management calls for identifying novel and more effective therapeutic approaches. Self-renewing tumor-initiating cells (TICs) hold intrinsic therapy-resistance and account for tumor relapse and progression. BMI-1 regulates stem cell self-renewal, thus impairing BMI-1 function for TICs-tailored therapies appears to be a promising approach. We have previously developed a combined immunophenotypic and time-of-adherence assay to identify CD49b^{hi}CD29^{hi}CD44^{hi} cells as human prostate TICs. Here we show that in TICs, BMI-1 expression is upregulated and associated with stem cell-like traits. Pharmacological inhibition of BMI-1 in patient-derived cells significantly decreased colony formation *in vitro* and attenuated tumor initiation *in vivo*, thereby functionally diminishing the frequency of TICs. Furthermore, BMI-1 inhibition, while displaying antitumor activity in both zebrafish and mouse xenografts, did not exert toxic effects on normal tissues. These data offer a paradigm for targeting TICs and support the development of BMI-1-related therapy for more effective PCa treatment.

1 Introduction

2 Prostate cancer (PCa) is the most common cancer affecting men in the developed world ^[1].
3 Current treatments are only temporarily effective, and therapy resistance and relapse are
4 commonly inevitable ^[2]. We and others have shown that primary PCa contain cells endowed
5 with self-renewal and tumorigenic potential ^[3-8], known as tumor-initiating cells (TICs) ^[9]. By
6 virtue of their resistance to therapy, TICs could be the prime cause of tumor relapse. Thus, in
7 order to accomplish tumor eradication, efforts are made to design TIC-tailored therapy that
8 would selectively target these highly aggressive tumorigenic cells.

9 An attractive treatment strategy is to use agents capable of impeding the self-renewal abilities of
10 TICs, therefore targeting heterogeneous cells in all tumor clone(s) within a given patient. BMI-1
11 (B-cell specific MMLV insertion site-1), a member of the polycomb family of the chromatin
12 remodeling complex, was shown to regulate stem cell self-renewal ^[10] and play a key role in PCa
13 initiation and progression ^[11]. In clinical specimens, BMI-1 expression correlates with high rates
14 of PCa recurrence ^[12], and downstream targets of the BMI-1 are associated with therapy-resistant
15 PCa ^[13]. These data closely associate BMI-1 with the presence of tumor-initiating stem-like cells
16 in clinical PCa samples, making it reasonable to assume that small-molecule inhibitors targeting
17 BMI-1 could be the first in a new class of antitumor therapy directed against self-renewing and
18 chemo-resistant TICs.

19 Previously, we developed a surrogate self-renewal assay that allowed us to isolate TICs from
20 PCa tissue based on $\alpha 2\beta 1$ -integrin (also called CD49b/CD29) and CD44 protein expression ^[6].
21 Herein, we investigated the role of BMI-1 in human prostate TICs. We demonstrate that in
22 prostate TICs, BMI-1 is overexpressed and functionally regulates their survival and
23 maintenance. Targeting of BMI-1 with a novel inhibitor impaired self-renewal and migratory

1 potential *in vitro*. Consistently, BMI-1 inhibition *in vivo* decreased tumor growth and
2 significantly reduced TICs in patient-derived samples and tumor xenografts, as evaluated by
3 CD49b/CD29/CD44 staining, serial transplantation *in vivo* and clonogenic prostatesphere assays
4 *ex-vivo*. Remarkably, these outcomes were not observed following conventional
5 chemotherapy treatments. Given the role of TICs in resistance to current therapies, these
6 observations support the evaluation of BMI-1 inhibitors for more effective PCa management.

7
8

Results

BMI-1 is a potential target for human prostate TICs

BMI-1 is a key player in PCa initiation, recurrence and progression ^[11, 12]. Accordingly, we found that BMI-1 is differentially expressed in PCa cell lines, but low in normal prostate epithelial cells (Supplementary information, Figure S1A). To assess the functional role(s) of BMI-1 in PCa, we performed BMI-1 loss-of-function analyses in DU145 PCa cells (Supplementary information, Figure S1B). Downregulation of BMI-1 was associated with decreased cell motility and clonogenic capability, as well as potentiated chemosensitivity (Supplementary information, Figure S1C, S1D and S1E). Notably, resistance to drug-induced apoptosis, motility, invasiveness and clonogenicity have been traced to TICs ^[14].

We recently found that in PCa, clonogenic, migratory and *in vivo* tumorigenic potentials are enriched in the collagen-I rapidly adherent (5min) CD49^{b^{hi}}CD29^{hi}CD44^{hi} cell population ^[6], identified therefore as TICs. Thus, we utilized this phenotypic and functional adherence assay to analyze BMI-1 expression (Figure 1A). Indeed, the rapidly adherent DU145 cells were enriched in the CD49^{b^{hi}}CD29^{hi}CD44^{hi} phenotype (Figure 1B and 1C), and significantly overexpressed BMI-1, both at the RNA (Figure 1D) and protein levels (Figure 1E and 1F, and Supplementary information, Figure S2A). Furthermore, as expected, the rapidly adherent CD49^{b^{hi}}CD29^{hi}CD44^{hi} TICs were enriched for the other prostate TIC markers integrin- α 6 (CD49f) and TROP; ^[4, 8] (Supplementary information, Figure S2B), suggesting that BMI-1 is enhanced in the more tumorigenic cell compartment ^[6] of PCa. To further confirm our results and model, we also assessed BMI1 expression upon sorting of the high and low CD49CD29CD44 cells and found the same outcomes (Supplementary information, Figure S2C).

1
2 To study whether BMI-1 expression modulates the levels of TICs, we employed the collagen
3 adherence assay after lentiviral-mediated knockdown. Loss of BMI-1 in sh-BMI-1 DU145
4 cells resulted in a significant (~40%) decrease in the numbers of rapidly adherent
5 CD49b^{hi}CD29^{hi}CD44^{hi} cells (Figure 1G), suggesting an impact of BMI-1 on the TIC
6 population.

7 8 *Identification of pharmacological BMI-1 inhibitors*

9 We previously demonstrated that BMI-1 expression is tightly controlled by post-
10 transcriptional processes mapping to the 5'- and 3'-untranslated regions (UTRs) ^[15]. In
11 reporter cells containing the luciferase open reading frame flanked with the human BMI-1 5'-
12 and 3'-UTRs, the BMI1 3'-UTR enhanced BMI-1 expression, while the internal ribosome
13 entry site (IRES)-containing 5'-UTR impaired BMI-1 expression and controlled the effect of
14 the 3'-UTR ^[15].

15 These observations allowed the construction of a platform to identify compounds impacting
16 the regulatory mechanisms within the BMI-1 5'UTR and 3'UTR to modulate BMI-1 protein
17 expression ^[15, 16].

18 A high-throughput screen against a library of >200,000 small molecules (PTC Therapeutics)
19 identified seven compounds, with the same core structure (Figure 2A), targeting the post-
20 transcriptional control mechanisms described above ^[15, 16]. To examine their antitumor
21 activity, we determined their IC₅₀ concentrations in total DU145 cells (Supplementary
22 information, Figure S3A). Among them, three compounds: C-209, C-210 and C-211

1 significantly decreased the number of rapidly adherent CD49b^{hi}CD29^{hi}CD44^{hi} cells by an
2 average of 30-50% (Figure 2B).

3 BMI-1 enables transcriptional repression of >1,600 target genes through the PRC1 complex
4 [17], therefore, targeting BMI-1 would evoke complex cellular responses depending on the cell
5 type and/or activated pathways. We evaluated BMI-1 protein inhibition by ELISA and
6 Western blotting in comparison to EZH2; a closely related PRC2 protein with a similarly
7 short half-life [18]. Indeed, all three compounds reduced BMI-1 expression in a dose-dependent
8 manner (Figure 2D), but had no effects on EZH2 (Figure 2D).

9 Furthermore, BMI-1 knockdown induces senescence [10]. Treatment of mouse embryonic
10 fibroblasts (MEFs), that are either Bmi-1^{+/+} or Bmi-1^{-/-}, with C-209, C-210 and C-211
11 respectively, elicited a significant dose-dependent increase in senescence in Bmi-1^{+/+} MEFs,
12 and sh-BMI-1 DU145 cells, but not in the highly senescent Bmi-1^{-/-} MEFs (Supplementary
13 information, Figure S3B and S3C), suggesting a potential functional specificity of the selected
14 inhibitors in targeting BMI-1's effects on cellular senescence.

16 *Pharmacological targeting of BMI-1 in human prostate TICs*

17 To establish that BMI-1 inhibition has activity against the putative TICs in PCa, we treated
18 different PCa cells with C-209. This treatment significantly impaired the percentage of rapidly
19 adherent CD49b^{hi}CD29^{hi}CD44^{hi} (TICs) (Figure 2E and 2F), induced a G1 cell cycle
20 accumulation (Supplementary information, Figure S3D), which was anticipated from loss of
21 BMI-1's cell cycle regulatory function(s) [19], reduced number of cells in S phase
22 (Supplementary information, Figure S3D) and critically, evoked a dose-dependent reduction
23 in both BMI-1 and C-terminal lysine-119 mono-ubiquitinated form of γ -H2A, a specific

1 product of the BMI-1 PRC1 complex activity ^[19] (Supplementary information, Figure S3E and
2 S3F).

3 Self-renewal capacity is a distinguishing property of stem cells. Serial clonogenic spheroid
4 assays could estimate the frequency of TICs, especially after treatments ^[20]. To evaluate the
5 ability of these compounds to target serial clonogenic capacity, single cells collected from
6 primary spheroids were plated in the presence of C-209, C-211 and two commonly used
7 chemotherapies, methotrexate and doxorubicin. Unlike the initial slight inhibitory effects of
8 methotrexate and doxorubicin, treatment with C-209 and C-211 considerably diminished the
9 number of single cell-derived secondary spheroids, and more importantly tertiary spheroids
10 (Figure 2G). While methotrexate had no effect, the outcomes of C-209 and C-211 treatment
11 were remarkable, as nearly a 10-fold reduction was observed (Figure 2G). We therefore
12 hypothesized that inhibiting BMI-1 might eliminate self-renewing, hence more tumorigenic
13 cells, chemotherapies only affect the proliferating transit-amplifying cells sparing the ones
14 endowed with clonogenic capacities, and characterized by a lower proliferative kinetic. In line
15 with this notion, serial spheroid formation in presence of the general protein biosynthesis
16 inhibitor cycloheximide (CHX) ^[21], similar to chemotherapies, did not impact cells capable of
17 self-renewal (Figure 2G), thus corroborating our hypothesis.

18 19 *Effects of BMI-1 inhibition on normal cells*

20 When targeting TICs, an important concern is the effect on “normal” cell compartments.
21 Zebrafish embryos, are valuable models for *in vivo* drug toxicity studies ^[22].

1 In toxicological assays, C-209, C210, and C-211 had no notable effects on zebrafish
2 development at their respective IC₅₀s (Supplementary information, Figure S4A). However, at
3 higher doses, C-210 and C-211 impeded embryo hatching and caused embryo curling, thus
4 suggesting narrow safety margins (Supplementary information, Figure S4A and S4B). In
5 contrast, zebrafish embryonic development and survival were not impacted by C-209
6 treatment at the IC₅₀ conc. (Supplementary information, Figure S4B). These data prompted us
7 to dismiss C-210 and 211 inhibitors and focus on establishing the safety profile of C-209. To
8 provide evidence that the effects of C-209 were not related to a general disruption of mRNA
9 translation, zebrafish embryos were exposed in parallel to the universal translational inhibitor
10 CHX (Supplementary information, Figure S5A). CHX treatment resulted in developmental
11 arrest and profound embryonic toxicity at low concentrations, while embryos treated with C-
12 209 at 3μM survived and progressed normally throughout development (Supplementary
13 information, Figure S5A). Likewise, treatment of adult zebrafish with C-209, but not CHX,
14 yielded no apparent impact on survival (Supplementary information, Figure S5B).

15
16 To further assess C-209 adverse effects on normal mammalian tissues, BMI-1 inhibition was
17 also tested on normal prostate epithelial RWPE1 cells by examining clonogenic potential pre-
18 and post-C-209 treatment. While C-209 drastically reduced DU145 PCa colony formation,
19 RWPE1 cells, while showing an expected much lower clonogenic proclivity than DU145
20 cancer cells, BMI-1 inhibition was mostly ineffective (Supplementary information, Figure
21 S6A).

22 Furthermore, because self-renewal of hematopoietic stem and progenitor cells (HSPCs) is vital
23 for the sustained production of blood cells ^[10], we evaluated the clonogenic capacity of primary

1 human CD34⁺ HSPCs upon treatment with C-209 and observed no significant effect
2 (Supplementary information, Figure S6B). Additionally, treatment of mice with C-209 did
3 not induce any anemia and/or thrombocytopenia, nor histological changes were observed in
4 the bone marrow of treated vs. untreated mice (Supplementary information, Figure S6C),
5 suggesting that dosage limited targeting of BMI-1 might have more effective role(s) in
6 inhibiting the clonogenic potential of tumor versus normal stem cells.

7 *C-209 could target post-transcriptional regulation of BMI-1*

9 To gain insight into the mechanism of action of C-209 (Figure 3A) against PCa, We assessed
10 the electrostatic potential of C-209 (Figure 3B), and then used the UCSF DOCK program to
11 model the docking of C-209 to the human BMI 5'UTR RNA. The docking model suggests
12 that C-209 could bind to pockets formed in the BMI-1 RNA fold structures (Figure 3C). We
13 next utilized the BMI-1 UTR luciferase reporter constructs (Figure 3D), previously used to
14 demonstrate the regulatory roles of BMI-1 UTRs^[15], to examine the effects of C-209 on cells
15 harboring these BMI-1 UTR regulatory reporters. Indeed, C-209 treatment significantly
16 reduced normalized luciferase expression in DU145 cells harboring the BMI-1 3'UTR and
17 reversed the BMI-1 expression repressing effects^[15] of the IRES-containing BMI-1 5'UTR
18 (Figure 3E). Thus, in PCa cells, C-209 engages the BMI-1 regulatory mechanisms embedded
19 within the UTRs.

20 We next performed time course experiments in DU145 and PC3 PCa cells (Figure 3F), and
21 observed that C-209-mediated downregulation of BMI-1 occurs between 48-72hrs, depending
22 on the cell type (Figure 3F). To examine if C-209 is selective for BMI-1 post-transcriptional
23 inhibition, we utilized control and BMI-1 UTRs reporter cells and found that C-209

1 preferentially inhibit expression of a reporter, the translation of which is under the BMI-1 5`-
2 and 3`UTR control, rather than alternate control UTRs (Figure 3G). We also treated DU145
3 cells concomitantly with C-209 2μM and CHX, a general translational inhibitor, and analyzed
4 mRNA expression of BMI-1 and the CHX target epithelial sodium channel (αENaC) ^[23].
5 While treatment with CHX mutually lowered mRNA levels of both BMI-1 and αENaC, C-209
6 exposure did not exert a lowering effect on the levels of transcribed BMI-1 mRNA (Figure
7 3H). In contrast, C-209 caused a slight increase in the BMI-1 message, explained by a
8 reported cellular addiction to BMI-1 ^[11, 12, 24], followed by decreased BMI-1 protein level
9 when compared to untreated or CHX treated DU145 cells (Figure 3H and 3I). Thus, C-209
10 reduces the production of BMI-1 protein likely by modulating its post-transcriptional
11 regulation.

12 Nonetheless, to specifically link C-209 effects to targeting post-transcriptional regulation of
13 BMI-1 transcripts, we analyzed BMI-1 translation directed by full-length (UTR-containing)
14 human BMI-1 cDNA ^[25] in eukaryotic cell-free expression system. Incubation of the BMI-1
15 cDNA with C-209 for just one hour before translation decreased BMI-1 protein synthesis by
16 27% (Figure 3J).

17
18 Moreover, we performed a dose-response cell viability assay in BMI-1-deficient (sh-BMI-1) and
19 BMI-1-overexpressing (EGFP BMI-1) DU145 cells, and compared the results to control
20 transduced DU145 Sh-Scr cells expressing endogenous level of the protein (Figure 3K). Unlike
21 DU145 Sh-Scr cells, whose survival was impaired even at low C-209 doses (IC₅₀ 1.25-
22 2.5 μM), both DU145 BMI-1-deficient (lacking the target) and -overexpressing (with excess
23 target) cells showed a lower sensitivity, being affected only at very high concentrations of C-

209 (DU145 EGFP-BMI-1 IC₅₀ ~10µM and DU145 sh-BMI-1 IC₅₀ ~15µM). Survival analyses comparison between DU145 Sh-Scr and DU145 sh-BMI-1 cells revealed a highly significant response of DU145 Sh-Scr cells to C-209 even starting at 0.3125 µM (Figure 3L). Accordingly, in order to experience the growth-inhibitory effect of C-209, BMI-1-overexpressing cells required a higher dose than DU145 Sh-Scr (Figure 3L), therefore providing another evidence that C-209 effects could be selective towards BMI-1 targeting.

Also, to examine other potential mechanisms of action, C-209 activity was examined against a panel of 245 kinases and 21 phosphatases. These assays elucidated a lack of significant inhibition (data not shown).

BMI-1 inhibition in patient-derived TICs

To assess the value of a new treatment, primary patient-derived cells represent a much more relevant model compared to cell lines. Despite the known difficulties in culturing primary PCa cells *in vitro*, even if for brief periods, we have recently successfully maintained primary PCa cells endowed with self-renewal and *in vivo* tumorigenic potential in culture ^[6]. Therefore, we examined C-209 treatment in a panel of short-term cultures from primary PCa cells differentially expressing BMI-1 (Table S1 and Supplementary information, Figure S7). Exposure of patient-derived PCa cells to C-209 resulted in significant BMI-1 downregulation (Figure 4A) followed by antitumor activity at an IC₅₀ lower but not significantly different from that found in DU145 cells (Figure 4B). Notably, a significant downregulation of BMI-1 was not observed in patient-derived normal counterparts treated with C-209 (Figure 4A). Remarkably, as observed with tumor cell lines, treatment with C-209 caused a critical reduction in the rapidly adherent CD49^b^{hi}CD29^{hi}CD44^{hi} (TIC) population in primary PCa

1 cultures (Figure 4C, left and right panels). In contrast, treatment with docetaxel, a first line
2 treatment for advanced PCa ^[2], resulted in enrichment of the highly aggressive
3 CD49b^{hi}CD29^{hi}CD44^{hi} TICs.

4 The effectiveness of any targeted therapy is based on the absence of relapse and/or secondary
5 clonal lesions ^[26]. Since TICs account for tumor progression by the virtue of their treatment-
6 resistance, self-renewal and tumor-seeding capacity ^[14], it is reasonable to deduce that the
7 efficacy of a TIC-tailored strategy relies on a diminished clonogenic and tumorigenic
8 capacity.

9 In order to evaluate C-209 efficiency in targeting patient-derived TICs, we pre-treated distinct
10 primary PCa cells for several days with either C-209 or docetaxel. Subsequently, to
11 investigate the long-term impact of treatments, particularly in a post therapy discontinuation
12 setting, cells were washed and replated. Cell rescue and soft agar assays were assessed to
13 evaluate differences in cell survival and colony-forming repopulation abilities. Interestingly,
14 both docetaxel and C-209 treatments impaired short-term survival of primary PCa, although
15 C-209 to a more significant extent (Figure 4D). Critically, patient-derived PCa cells
16 maintained the ability to form colonies after single treatments with docetaxel but significantly
17 less with C-209 (Figure 4E), indicating that BMI-1 inhibition impairs survival and clonogenic
18 activity of primary PCa TICs.

19 BMI-1 has been implicated in PCa metastasis ^[27]. Since loss of function of BMI-1 impaired
20 PCa cell migration (Supplementary information, Figure S1C), we assessed the post-treatment
21 propensity of patient-derived PCa cells to migrate in modified Boyden chambers. We found
22 that, while docetaxel-treated cell migratory potential was almost unchanged, C-209-exposure

1 significantly diminished their motility (Figure 4G), thus suggesting a notable role for BMI-1
2 in cancer dissemination.

3 4 *Evaluation of C-209 in vivo*

5 Successful murine xenografting of primary human PCa, in the absence of inducing murine
6 urogenital mesenchyme ^[28], has rarely been achieved. We have shown that embryonic and
7 juvenile zebrafish could be successfully used as PCa xenograft models ^[6]. Here, we utilized
8 these xenografts to identify small molecule inhibitors that functionally target BMI-1 and self-
9 renewal activities (Figure 5A). We isolated PCa cells from twenty-four patients undergoing
10 surgical prostatectomy (Table S1), and examined their tumor initiation potential in zebrafish
11 xenografts. PCas were diagnosed based on histological examination (Figure 5B, 5C, and 5D).
12 The expression of the PCa-specific alpha-methylacyl coenzyme-A racemase (AMACR) when
13 combined with overexpression of Erg ^[29], provide excellent dual PCa-specific biomarkers ^[6]
14 (Figure 5E, 5F, and 5G, and Supplementary information, Figure S8A and S8B). We detected
15 Erg overexpression associated with AMACR in the mirror sections of sampled PCa tissue
16 (Figure 5E, 5F, and 5G), and within zebrafish xenografts (Supplementary information, Figure
17 S8C, S8D, and S8E), in cells that expressed the human isoform of CD44 and BMI-1
18 (Supplementary information, Figure S8F, S8G, and S8H). TICs isolated from primary PCa
19 engrafted robustly in the pre-immune zebrafish embryos (Figure 5H), forming xenografts
20 (Figure 5H, 5I, 5G, and 5K) with cells morphologically similar to the patient's biopsy cells
21 (compare cells in Figure 5D to those in Figure 5K) and positive for Prostatic Specific Antigen
22 (PSA) staining (Figure 5L, 5M, 5N, and 5O).

1 Again, we employed the zebrafish toxicity assay to demonstrate that the compounds under
2 investigation have no notable toxicities when used at their corresponding IC_{50s}
3 (Supplementary information, Figure S9A and S9B). We next treated zebrafish embryos that
4 were engrafted with quantum dot (QD)-labeled TIC-derived PCa (Figure 6A and
5 Supplementary information, Figure S10A). Treatment of PCa xenografts from multiple patient
6 samples (Figure 6C and 6D) with C-209 at 2μM led to tumor shrinkage (Figure 6B and 6D,
7 and Supplementary information, Figure S10B, left and right panels). Likewise, treatment of
8 juvenile xenograft fish with C-209 led to tumor reduction (Supplementary information, Figure
9 S10C, left and right panels), suggesting that although governing the cell fate of TICs, BMI-1
10 likely regulates the viability of PCa cells in general.

11 To determine C-209 efficacy in targeting TICs, hence tumor reinitiation, primary PCa were
12 treated with either docetaxel or C-209 for few days before being washed and injected into
13 zebrafish embryos. After 10 days, C-209-treated cells gave rise to significantly less tumors
14 than control- or docetaxel-treated cells (Figure 6E). Notably, these effects were associated
15 with a significant reduction in Ki67 staining (Figure 6F).

16 Xenotransplantation, followed by serial repopulation, is considered an essential criterion to
17 assess serial maintenance of stemness in defining TICs. Thus, we sorted labeled pooled tumor
18 cells from primary zebrafish xenografts treated either with DMSO or C-209, and used them
19 for secondary xenografts (Figure 6G). TICs from DMSO-treated embryos were able to initiate
20 secondary grafts in 81.8% of cases (n=54/66 secondary xenograft embryos from three patient
21 samples), while C-209-treated cells had significantly less tumor initiation potential in only
22 29.3% of cases (n=22/75 from three patient samples) (p<0.001) (Figure 6G), suggesting that
23 C-209 treatment is effectively impairing the frequency of TICs in zebrafish xenografts.

Moreover, because we observed that BMI-1 affected cell motility potential *in vitro*, we performed histological analyses on localized and metastatic sections of zebrafish xenografts. Primary tumors, identified through expression of human CD44^[6], had <50% of BMI-1 expressing cells, in contrast to >90% of BMI-1 positive cells in metastatic colonies (Figure 6H and 6I), suggesting that metastatic tumors might contain a larger fraction of TICs expressing BMI-1 and/or that BMI-1 might play a prominent role in cancer dissemination.

Zebrafish provide a powerful organism to study the cancer self-renewal population^[30]. To examine whether C-209 treatment affects tumor response and TIC survival in a murine model, we employed a strategy aimed at unraveling the targeting of self-renewing TICs (Figure 7A). We injected rapidly adherent CD49b^{hi}CD29^{hi}CD44^{hi} DU145 TICs^[6], that were previously infected with a lentiviral vector encoding luciferase2/enhanced green fluorescent protein (Luc2/EGFP), into NOD-SCID-IL-2R null (NSG) mice. Tumors, allowed to grow until the size of ~100 mm³, were treated with C-209 or the chemotherapeutic agent docetaxel for ~2 weeks (Figure 7B). At the end of treatments, while vehicle-treated tumors grew exponentially and docetaxel exerted a minimal effect on xenografts growth, C-209-treated tumors were significantly inhibited (Figure 7B). Additionally, since tumor relapse is frequently observed following treatment discontinuation, tumor volume was monitored with an electronic caliper for additional 15 days. Noticeably, at the end of this period, the results in Figure 7B, while revealing a significant difference in tumor growth between docetaxel-treated and untreated mice, show an even higher disparity in C-209-treated xenografts, thus, corroborating the efficiency of anti-BMI-1-based therapy.

Severe tumor damage indicated by the large necrotic areas was present two weeks after the last

1 delivery of chemotherapy and C-209 (Figure 7C). In line with this, Ki67+ cells in grafts with
2 reduced nuclear BMI-1 and surface CD44 expression from C-209 treated mice were significantly
3 lower when compared to controls (Figure 7D and 7E).

4 To investigate whether C-209 treatment was able to target TICs *in vivo*, clonogenic assays *ex*
5 *vivo* and serial transplantations were assessed from treated and untreated xenografts (Figure 7A).

6 Interestingly, the clonogenic potential of cells dissociated from C-209-treated tumors was
7 significantly reduced (Figure 7F). To determine the frequency of cells having clonogenic, hence
8 tumorigenic function, in the mixed tumor bulk population, we performed *ex vivo* a limiting
9 dilution assay between C-209-treated and untreated xenograft-derived cells. While control-
10 derived cells were highly clonogenic, C-209-treated xenograft-derived cells generated colonies at
11 a lower frequency (Supplementary information, Figure S11). Importantly, while both control-
12 and docetaxel-xenograft derived cells could be serially transplanted in secondary recipients, the
13 graft repopulation of C-209-treated tumors was significantly reduced (Figure 7G), thus
14 demonstrating that BMI-1 targeting is effective against tumor-propagating cells.

15 Collectively, our data demonstrate that C-209, a novel small molecule that targets post-
16 transcriptional regulation of BMI-1, displays mutually effective anti-TICs and antitumor
17 activities in both zebrafish and mouse PCa xenografts.

Discussion

Mounting evidence support the notion that distinct tumor subpopulations termed cancer stem cells (CSCs) or TICs as responsible for tumor generation and treatment failure^[31,32]. Accordingly, to achieve tumor eradication, we need new approaches capable of targeting the tumorigenic core of cancers. TICs possess indefinite replicative ability due to an inherent or acquired self-renewal capacity. Consequently, targeting self-renewal potential of a given tumor may be the key towards developing more effective treatments.

Multiple molecular pathways regulate the self-renewal potential of stem cells and are therefore potential targets in TICs^[33]. Among these, BMI-1, the key component of PRC1 transcriptional repressor complex that plays important roles in cell cycle regulation and cellular senescence, represents a most critical target. BMI-1 is in fact also necessary for Hh-^[34], β -catenin-^[35], and Akt-mediated self-renewal^[24,36], thus is a key stem cell self-renewal regulator^[36]. In PCa, BMI-1 activation occurs in primary tumors^[27], transgenic mice^[11,24] and in stem cells from metastatic PCa with poor prognosis^[13]. Additionally, the expression of BMI-1 in PCa is highly predictive of PSA recurrence^[12]. BMI-1 thus is a critical target regulating the proliferative activities of prostate TICs and PCa overall.

Here, we initially demonstrated that knockdown of BMI1 impairs stem cell-like traits in PCa, likely by reducing TICs frequency. Next, through high-throughput followed by selective approaches, we identified a small molecule that targets BMI-1 post-transcriptional regulation and investigated its ability to interfere with TIC survival and self-renewal capacity.

Human prostate spheroids have increased BMI-1^[37]. Herein we showed that, in the 5min adherent CD49b^{hi}CD29^{hi}CD44^{hi} tumorigenic stem-like cells, BMI-1, Integrin- α 6 (CD49f) and TROP2 levels are higher than in the non-tumorigenic counterpart. Moreover, BMI-1 protein is highly

1 enriched in these 5min adherent CD49b^{hi}CD29^{hi}CD44^{hi} cells. Colony, serial spheroid formation
2 and tumor xenograft studies showed that BMI-1 controls self-renewal, hence tumor-seeding
3 capacity of prostate TICs. Importantly, the same outcomes were not observed with conventional
4 chemotherapies or general translational inhibitor treatments. These data suggest that, unlike
5 chemotherapies, which largely spare and even enrich cells endowed with tumorigenic capacities
6 ^[31], exposure to C-209 efficiently reduces the survival and clonogenic potential of TICs in PCa.
7 Likewise, C-209 impaired TIC-associated features alongside with survival of PCa cells in
8 zebrafish and mouse PCa xenografts.

9
10 A possible drawback for the development of agents targeting stem cell self-renewal may be the
11 potential toxicity deriving from inhibition of normal differentiation, particularly in HSPCs ^[38].
12 Importantly, C-209 had less of an effect on CD34⁺ HSPCs. The *in vivo* administration of C-209
13 did not alter bone marrow integrity, nor did it induce anemia and/or thrombocytopenia in treated
14 mice. Additionally, no notable toxicity was observed in zebrafish at the IC₅₀ employed. These
15 findings suggest that it might be possible to target TICs overexpressing BMI-1 with lower doses
16 of targeted therapy, and without notable toxic effects on normal cells. Indeed, we have recently
17 demonstrated that lower doses of AKT-targeted therapy could target and radiosensitize
18 glioblastoma TICs overexpressing BMI-1 ^[36].

19 Tumor regrowth is often observed in cancer patients following chemotherapy withdrawal. We
20 found C-209 treatment interruption did lead to a rapid tumor growth rebound. Thus, targeting
21 BMI-1 by C-209 treatment could be exploited to devise more effective therapeutic approaches
22 for PCa. Importantly, the significant reduction in clonogenic cells in C-209-treated grafts, proved
23 by limiting dilution and clonogenic assays *ex-vivo*, and the reduced serial transplantation

1 capacity of xenograft-derived cells, suggest that such treatment impairs the survival of TICs,
2 which, in contrast, were largely spared by docetaxel treatment. BMI-1 may also cooperate in
3 regulating epithelial-to-mesenchymal transition (EMT) ^[39], a state associated with TICs and
4 metastasis. We found BMI-1 to be overexpressed in secondary tumor lesions, also BMI-1
5 inhibition was accompanied by reduced cell motility in PCa, therefore a link may exist between
6 BMI-1-expressing self-renewing TICs and cancer dissemination in PCa.

7
8 ChIP-Seq and global mapping revealed that BMI-1 transcriptionally represses ~1,600 targets
9 through the PRC1 complex, with many targets vastly involved in apoptotic and cell survival
10 pathways ^[40], therefore driving the proliferation-promoting function of BMI-1 ^[41].

11 C-209 was identified in a screen utilizing reporter cells that harbor BMI-1 5'UTR and 3'UTR.
12 Treatments of tumor cells with C-209 reduced BMI-1 protein levels but not EZH2 nor a panel of
13 245 kinases and 21 phosphatases, increased cellular senescence, reduced the specific BMI-1
14 product of activity lysine-119 mono-ubiquitinated form of γ -H2A ^[19], induced a G1 cell cycle
15 accumulation, and impaired TICs by abolishing serial spheroid formation *in vitro* and graft
16 repopulation potential *in vivo*, altogether are functional effects suggesting that C-209 may directly
17 or indirectly targets BMI-1 to evoke a complex cellular response.

18 Structure activity relationship in UTR reporters and modeling studies suggest that C-209 could be
19 relatively selective towards targeting the BMI-1 transcript, harboring the BMI-1 UTR regulatory
20 elements. Thus, the anti-proliferative effects of C-209 could be due to direct modulation of BMI-1
21 post-transcriptional control mechanisms regulating BMI-1 translation and embedded within the
22 UTRs, such as control of translation initiation either directly in a cap-independent fashion or

possibly through riboswitches, which also control splicing in the 3'UTR by coupling metabolite binding to mRNA processing, or indirectly by regulating mRNA stability ^[42].

Few small molecules are known to elicit their effects by modulating RNA function(s) outside of the bacterial ribosome. Although the exact site(s) on the BMI-1 5'UTR and/or 3'UTR targeted by C-209 remains to be determined, and detailed binding studies are necessary to delineate the exact selectivity of C-209 towards BMI-1, absolute selectivity is not necessarily the best approach when considering BMI-1 inhibition as a potential treatment for PCa, because high selectivity could lead rapidly to resistance.

Genetic modulation of BMI-1 in PCa cell lines revealed a linear correlation between protein expression and the anti-proliferative as well as anti-TIC response to C-209. Instead, tumors from different patients exhibit variegated responses, due to their extensive heterogeneity ^[43] that, unlike immortalized cell lines ^[44], are derived from distinct genetic alterations and cell proliferation kinetics.

Mutations, such as those affecting AR signaling and PTEN/PI3K/AKT activation, could render PCa cells addicted to the activity of BMI-1 signaling, and will therefore delineate responses to therapy. For instance, ectopic overexpression of Bmi-1 in the mouse prostate gland, together with ablation of PTEN, results in aggressive PCa, that become dependent on Bmi-1 ^[24].

Addiction of PCa cells to BMI-1 likely activates cellular signals in tumor cells, which are absent in normal tissue, to elicit aberrant proliferative and anti-apoptotic effects. These effects explain our distinct dynamics of cellular responses to BMI-1 inactivation among normal and tumor cells, and between patient-derived cells and DU145 cells, the latter are hormone insensitive cells derived from metastasis and have activated PTEN but mutated p53.

1 The defects in Bmi-1 null mice are caused by inappropriate Ink4a/Arf expression ^[41]. The
2 proteins p16^{Ink4a} and p19^{Arf}, which induce cell-cycle arrest and apoptosis through activation of
3 Rb and p53, respectively, are not expressed in normal tissue but induced upon oncogenic
4 signaling ^[45]. The robust expression of BMI-1 in most PCa samples analyzed, the extreme
5 sensitivity of PCa cells to BMI-1 inactivation vs normal RWPE1 cells, the upregulation of BMI-
6 1 mRNA as an initial response to C-209 treatment, all suggest that PCa cells might have an
7 oncogenic dependence over BMI-1 activity, possibly through increased transcriptional
8 regulation, and similarly to the oncogenic addiction to Myc ^[46]. This dependence distinguishes
9 TICs from normal stem cells and can be viewed as a survival mechanism, to maintain cancer cell
10 viability, which could be exploited for targeted therapy. Overall, our data suggest that PCa cells
11 are more sensitive to BMI-1 inhibition than normal cells, corroborating similar preferential
12 sensitivity of PCa versus normal tissues to BET domain inhibitors ^[46]. We conclude that the
13 identification of molecules targeting BMI-1, a self-renewal target involved in oncogenic
14 addiction, may open new avenues to directly target TICs for PCa treatment while preserving
15 normal stem cell populations.

Materials and Methods

Materials

Small molecule inhibitors were from PTC therapeutics, South Plainfield, NJ. Docetaxel (also called taxotere), doxorubicin, and methotrexate were from Rutgers Cancer Institute of New Jersey (CINJ) pharmacy. Cycloheximide was purchased from Cell Signaling. Collagen-I was bought from BD Biosciences, and NOD/SCID/IIR γ mice were from the Jackson laboratory.

Collagen adherence assay

Putative cancer stem-like cells, or TICs, were isolated by combining phenotypic analyses^[3] with collagen adherence as described^[6]. Briefly, tissue culture dishes were coated with 70 μ g/ml of collagen-I for 1 hr at room temperature or overnight at 4°C. Subsequently, plates were washed with PBS and blocked in 0.3% BSA for 30 minutes. Cells were plated on collagen plates for 5 or 20 minutes. Next, cells adhering in 5 minutes and not adhering after 20 minutes were collected and used for further experiments.

Identification of BMI-1 post-transcriptional inhibitors

We have examined a small molecule library (PTC therapeutics) for post-transcriptional inhibitors of BMI-1 utilizing luciferase reporters encompassing the 5'UTR and 3'UTR of human BMI-1^[15]. Anti-BMI-1 antibody (Millipore, clone F6) was used for ELISA assays and western blotting (WB). The principal BMI-1's downstream target, mono-ubiquitinated (γ) histone H2A, was examined using a mouse monoclonal anti-ubiquityl-histone H2A antibody (clone E6C5) (Millipore). The selectivity of C-209 was further investigated by profiling it against both a library of purified protein kinase targets using the Z'-LYTE SelectScreen profiling activity assay

(Invitrogen) against 245 kinases at [ATP] Km and [C-209] (3 μ M), and a phosphatase profiler assay with an IC₅₀ profiler (Millipore). Both assays yielded <10% activity for C-209.

Electrostatic potential and docking of C-209 to the human BMI-1 RNA

All quantum mechanics calculations were performed using Gaussian 09. C-209 was geometry optimized at the PM6 level using tight convergence. A single-point energy calculation at the B3LYP/6-31G(d) level was performed and Merz-Kollman partial atomic charges were estimated from the electrostatic potential. The reported energy is gas phase. The surface and contour plot was prepared using the GaussView program. The electrostatic potential allowed us to build a model for docking^[47] of C-209 to the human BMI RNA. We used the UCSF DOCK program (v6.7). The small molecule C-209 was built using the Spartan (Wavefunction, Inc) quantum mechanics package and geometry optimized at the PM6 semi-empirical level. The Amber99SB partial atomic charges were used on the RNA and AM1-BCC partial atomic charges were calculated for C-209 within the UCSF Chimera molecular graphics package^[47]. The interaction energy scores (E_{int}) estimate the binding energy in the DOCK scoring of C-209 with BMI-1 RNA, using guanine as a reference. DOCK scores, E_{vdw} (kcal/mol), E_{elec} (kcal/mol), and E_{int} (kcal/mol), were generated using the following equation: $E_{\text{int}} = E_{\text{vdw}} + E_{\text{elec}}$. C-209 DOCK scores were E_{vdw} (kcal/mol) -60.6, E_{elec} (kcal/mol) -5.2, and E_{int} (kcal/mol) -65.8, as compared to guanine scores of E_{vdw} (kcal/mol) -36.4, E_{elec} (kcal/mol) -4.1, and E_{int} (kcal/mol) -40.5. The lower the energy score; the more stable the complex contacts with the RNA due to complete fitting into the binding pocket.

Patient-derived cell culture

Primary PCa cells were isolated from PCa specimens obtained at Rutgers CINJ in accordance with an Institutional Review Board (IRB)-approved protocol and upon informed consent from patients undergoing surgical resection. For isolation of prostate cells from surgical specimen, tissue was minced into small pieces and incubated with 1X collagenase (Sigma Aldrich) for 2 to 4 hours depending on the size of the tissue. After incubation, the dissociated pieces were strained with a 70µm filter to remove debris and the dissociated cells were washed with PBS at 250g for 2 minutes in order to eliminate fibroblasts. The recovered cells were cultured in prostate epithelial basal media (PrEBM, Lonza) for at least 14 days before being used for experiments at low passage numbers. Cells from immortalized PCa lines were maintained at low passage numbers in RPMI media (GIBCO), 10% fetal bovine serum, and 1% penicillin-streptomycin. TICs, obtained from DU145 cells after selection, were maintained in keratinocyte serum free medium (KSFM) supplemented with epidermal growth factor (EGF) and bovine pituitary extract (KSFM media) (All from Invitrogen). Protein analyses, flow cytometry, cell sorting, cell viability and survival assays, and cell migration assays are described in further details in the Supplementary Methods.

Spheroid forming assay

We have previously characterized spheroid forming (prostasphere) assays from multiple PCa cell lines and primary cells^[6]. For prostasphere forming abilities, 2×10^3 cells/well were suspended in KSFM media and plated on 1% agarose coated plates. Every 3 days, half of the media was replaced and prostaspheres of $>50 \mu\text{m}$ in diameter and consisting of >50 cells were counted on day14. Single cells from day-7 spheroids were used in secondary and tertiary spheroid assays. Colony forming abilities of PCa cells plated at 1×10^3 cells/well in six-well dishes coated with

1 1% agar were done as described ^[48]. The prostasphere and colony forming assays are described in
2 further details in the Supplementary Methods.

3 4 *Luciferase target assay*

5 pCDNA3.1+Luc Vector UTR, pCDNA3.1+Luc BMI-1 3'UTR, pCDNA3.1+ Luc BMI-1 5'UTR,
6 and pCDNA3.1+Luc BMI-1 5'&3'UTR were previously described ^[15]. Cells were transfected
7 using lipofectamine 2000 (Invitrogen). To normalize the transfection efficiency, cells were
8 transfected with pCMV-AcGFP1 at a ratio of 1/3 along with the UTR plasmids. These cells were
9 then visually counted for GFP⁺ cells. After 19 hours, 5,000/well were seeded in a 96 well plate
10 and 6 hrs later, C-209 was added. At 24 hours post-treatment, cells were assayed for luciferase
11 activity using Steady-Glo system (Promega).

12 13 *Quantitative real-time PCR*

14 Total RNA was extracted using TRIzol Reagent (Life Technologies) and purification was
15 assessed with RNeasy plus Mini kit (Qiagen). cDNA was synthesized from 100 ng of total RNA
16 using SuperScript® VILO™ cDNA Synthesis Kit (Life Technologies) according to the
17 manufacturer's instruction. Synthesized cDNAs (10ng) were used as templates for real-time PCR
18 using EXPRESS SYBR® GreenER™ qPCR supermix. qPCR was performed in the StepOnePlus
19 real-time PCR system (Applied Biosystems). The geometric mean of the RNA Polymerase II
20 (RPOL2) housekeeping gene was used as an internal control. All amplicons were analyzed using
21 StepOne software V2.3 (Applied Biosystems). Primers used were: BMI-1 forward, 5`-
22 AACAATGGAATATGCCTTCTCTGC-3`; BMI-1 reverse, 5`-
23 ACTGGGGACAATGAAATGTTTAGC-3`; αENaC forward, 5`-CCTCTGTCACGAT-

GGTCACCCTCC-3'; α ENaC reverse, 5'-CAGCAGGTCAAAGACGAGCTCAG-3'; POLR2A forward, 5'-GCACCACGTCCAATGACAT-3'; and POLR2A reverse, 5'-GTGCGGCTGCTTCCATAA3'.

Labeling, transplantation and drug treatment of PCa grafts in zebrafish

Wild type EKK, Casper and *AB zebrafish (*Danio rerio*) were maintained following an approved aquatic animal protocol. Adult fish were spawned and reared in conditioned water at 28.5°C on a 14-h-light 10-h-dark cycles. Embryos were staged as described (<http://zfin.org>). Quantum dots (QDs) labeled human PCa cells were tracked in embryos and juvenile Casper fish as described [6]. Following initial imaging, transplanted embryos were maintained at 33°C for up to 12 days. Juvenile zebrafish at 6-8 weeks of age were immune-suppressed with 10µg/ml dexamethazone for 2 days as described [49]. Xenografts were examined for QD fluorescence upon tumor formation and treatment, and equal numbers of QD positive cells from pooled primary grafts were used for injection into secondary recipients. Sections were examined for histological and IHC analyses and compared to primary tissues as described [6], and further details are provided in the Supplementary Methods.

Small molecular translation assay

Transcription and translation of BMI-1 *in vitro* was done utilizing the human BMI-1 cDNA. Briefly, the full length 3.2 Kb fragment of the human BMI-1 cDNA (containing 5'UTR and 3'UTR) was subcloned into the BamHI site of pSK+ downstream of the T7 promoter. The resulting pSK+-hBMI-1-cDNA vector was linearized with SacI, purified and utilized for TNT coupled transcription/translation systems (Promega) following the manufacturer's instructions.

1 T7-mediated translation of mRNA (133 nM), after preincubation with or without 2 μ M C-209 for
2 60 min at 30°C was performed in cell-free reticulocytes lysates. Aliquots of the transcribed
3 products were run on an agarose gel to confirm equal transcription. The newly synthesized
4 proteins were analyzed on SDS-polyacrylamide gel electrophoresis and probed for BMI-1
5 expression using the rabbit monoclonal anti-BMI-1 (D20B7) antibody (Cell signaling).

6 7 *Treatment of mouse xenografts*

8 Animal studies were performed according to Robert Wood Johnson Medical School IACUC
9 protocol #I12-024-5. In order to differentiate tumoral from contaminating non-tumoral mouse
10 cells, prior to mice injection, DU145 cells were infected with lentiviral vector encoding
11 luciferase2/enhanced green fluorescent protein (Luc2/EGFP) that was generated as described ^[50].
12 Luc2/EGFP cells were suspended in 100 μ l mixed 1:1 with matrigel (BD Biosciences) and
13 injected subcutaneously (SC) into the left flank of six week-old NOD SCID IL-2Rnull (NSG)
14 mice. After tumor formation, mice were randomized and SC administered with docetaxel 6
15 mg/kg once per week for 2 weeks, and C-209 at a dose of 60 mg/kg daily for twelve days. Tumor
16 growth was evaluated with an electronic caliper before every administration, and measured every
17 3 days until day 30 and subsequently removed. Paraffin sections (5 μ m) were incubated with anti-
18 Ki67 (Upstate-Millipore, Billerica, MA) and -CD44 (R&D Systems). To calculate retention of
19 tumor-seeding capacity, tumor xenografts were dissociated following treatments, and recovered
20 cells were sorted for EGFP. Equal numbers of EGFP positive cells were next re-injected into
21 secondary recipients.

1 *Statistical analysis*

2 All statistical analyses were performed using GraphPad Prism 6 (GraphPad Software Inc). Data
3 are presented as mean \pm standard deviation (S.D.). Statistical significance was determined by
4 student's t-test or ANOVA (one-way or two-way) with Bonferroni post-hoc test. Mann-Whitney
5 U test was used to compare the differences in xenograft tumor volumes between two groups. A P
6 value <0.05 is represented by a single asterisk, a P value <0.01 is represented by a double
7 asterisk, three asterisks indicate $P<0.001$ while four asterisks indicate $P<0.0001$.

8
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Table S1 Primary prostate cancer patient characteristics.

Primary prostate cancer	Age	Type	Grade	pTNM	Gleason	BMI-1 expression by IHC
						H-score
15728	62	Adc	3	pT2c	3 + 3	120
17148	52	Adc	3	pT2c	3 + 3	150
17761	57	Adc	3	pT2c	3 + 3	ND
19803	55	Adc	3	pT2c	3 + 3	70
24126	53	Adc	4	pT3a	3 + 3	190
40181	60	Adc	4	pT3a	3 + 4	ND
25185	67	Adc	3	pT2c	3 + 3	200
25315	66	Adc	4	pT3a	3 + 4	150
26136	67	Adc	3	pT3b	4 + 5	220
25854	55	Adc	4	pT3b	4 + 5	190
28838	65	Adc	4	pT3b	4 + 4	160
28864	68	Adc	3	pT3b	3 + 4	210
28869	67	Adc	4	pT2c	4 + 4	200
29032	68	Adc	4	pT3b	4 + 5	210
29084	58	Adc	3	pT2c	3 + 3	ND
29092	71	Adc	4	pT3b	4 + 4	210
29110	69	Adc	4	pT3c	4 + 5	130
29663	50	mAdc	4	pT3b	4 + 5	250
29834	44	Adc	3	pT2c	3 + 4	160
29990	63	Adc	4	pT3a	4 + 3	200
33020	45	Adc	3	pT2c	3 + 4	165
33106	64	mAdc	5	pT3b	5 + 4	180
33072	48	Adc	4	pT3a	4 + 3	200
33120	47	Adc	3	pT2c	3 + 4	200

Table shows the de-identified number of each patient, age, prostate cancer type (Adc, adenocarcinoma, mAdc, metastatic adenocarcinoma), histological grade, pathological staging based on the pTNM classification, where pT2c indicates bilateral prostate disease, and total Gleason scores. BMI-1

1 expression is assessed as the extent of nuclear immunoreactivity by IHC and indicated as an H score.
2 The H score is obtained using the following formula: $H\text{-Score} = (\% \text{ at } 0) * 0 + (\% \text{ at } 1+) * 1 + (\% \text{ at } 2+) * 2 + (\% \text{ at } 3+) * 3$. Scoring was determined as (3 X percentage of BMI-1 strongly staining nuclei +
3 2 X percentage of BMI-1 moderately staining nuclei + 1 X percentage of BMI-1 weakly staining
4 nuclei), giving a range of 0 to 300. Weak cytoplasmic and/or stromal staining was seen in a few
5 sections and was not considered in the score. BMI-1 staining in multiple sections from the same
6 patient's tumor displayed marked heterogeneity. ND, not determined due to insufficient tissue material.
7

8
9
10

Figure legends

Figure 1 Assessment of BMI-1 in PCa TICs isolated by combined adherence and phenotypic assays. (A) Diagram depicting the combined time of adherence and phenotypic assay used to isolate TICs from DU145 cells and assess BMI-1 expression in different subpopulations of cells. (B) Flow cytometric analyses. (C) Percentage of cells identified by flow cytometry to express the TIC phenotype. (D) Q-PCR analyses of BMI-1 in subpopulations of DU145 cells. Relative mRNA BMI-1 level in total, CD49b^{hi}CD29^{hi}CD44^{hi} (high) and CD49b^{low}CD29^{low}CD44^{low} (low) DU145 cells. (E) Western blot analysis showing BMI-1 expression levels between total, CD49b^{hi}CD29^{hi}CD44^{hi} and CD49b^{low}CD29^{low}CD44^{low} DU145 cells. (F) Quantitation of BMI-1 expression levels from 6 independent experiments. Anti-actin was used as a loading control. (G) Fold adhesion of rapidly adherent CD49b^{hi}CD29^{hi}CD44^{hi} cells assessed over total DU145 control (Sh-Scr) and DU145 BMI-1-depleted (Sh-BMI-1) cells. Results are shown as mean \pm S.D. of three independent experiments. **P-value <0.01, ***P-value <0.001.

Figure 2 BMI-1 inhibition reduces TIC number and interferes with self-renewal capacity *in vitro*. (A) Chemical core structure of small molecules targeting BMI-1. Ar₁ is aryl or heterocyclyl; Ar₂ is heterocyclyl; R¹ is hydrogen; R² is hydrogen; and R³ is C1-8 alkyl. (B) Fold adhesion of rapidly adherent CD49b^{hi}CD29^{hi}CD44^{hi} cells evaluated upon treatment of total DU145 with inhibitors targeting BMI-1 for 72hrs. (C) IC_{50s} of compounds C-209-211 assessed in DU145 cells through an ELISA assay. (D) Western blot analysis showing BMI-1 and EZH2 expression levels in DU145 cells treated for 72 hours with C-209, C-210 and C-211 at 1x and 2x of the IC₅₀ concentrations. GAPDH levels were used as controls. (E) Representative cytofluorimetric analysis showing reduction of CD49b^{hi}CD29^{hi}CD44^{hi} prostate TICs upon C-209

1 treatment. DU145 cells were treated with C-209 (2 μ M) for 72 hours. These cells were then
2 subjected to time-of-adherence assay, stained, and examined using flow cytometry and Cell
3 Quest software. (F) Graphical representation showing impaired percentage of
4 CD49b^{hi}CD29^{hi}CD44^{hi} TIC phenotype in DU145, PC3 and CWR22 cells following C-209
5 treatment. Data are displayed as mean \pm S.D. of three independent experiments. (G) Effects of
6 BMI-1 post-transcriptional inhibitors vs. the non-specific protein translation inhibitor
7 cycloheximide (CHX), or the chemotherapeutics methotrexate (MTX) and doxorubicin on
8 secondary (left) and tertiary (right) prostate spheroids formation. Treatments that were
9 statistically significant were indicated as * p < 0.05 and ** p < 0.01, compared to untreated.

10
11 **Figure 3** Modulation of BMI-1 post-transcriptional regulation by C-209. (A) Chemical structure
12 of C-209. (B) The electrostatic potential of C-209 mapped to electron density surface. At an IC₅₀
13 of 2 μ M, the electrostatic potential E(RB3LYP) = -1423.42386733 au and dipole moment =
14 9.4906 Debye. (C) Docking of C-209 to the human BMI-1 RNA. View of C-209 (space filling
15 model colored magenta) within the binding pocket of the BMI-1 5'UTR model (ribbon).
16 Illustration was created using the Pymol software package. (D) Schematic diagram of the
17 luciferase (Luc) constructs used. The base pair (bp) length of the human BMI-1 5' and 3'UTRs
18 are displayed (from full length cDNA # L.13689.1). Boxes are drawn not to scale. (E) DU145
19 cells containing Luc flanked by control UTRs or BMI-1 5' or 3' UTR regions were treated for 24
20 hrs with 2 μ M C-209 and compared against untreated and DMSO controls. IRES-containing
21 BMI-1 5'UTR and 3'UTR were shown to regulate Luc expression ^[15]. The 5'UTR reduced Luc
22 expression, while when combined with the 3'UTR reversed the Luc expression reducing effects
23 demonstrating the regulatory effects of the BMI-1 5'UTR and 3'UTR. Treatment with 2 μ M C-

209 reversed the expression suppressing effects of the 5'UTR, and resulted in reduced Luc expression opposing the effects of the 3'UTR. Data plotted represent three independent experiments. (F) Timed modulation of BMI-1 protein expression upon C-209 (2 μ M) exposure. (G) Percentage of inhibition of Luc reporter cells with either control (Cont.) vs. BMI-1 5' and 3'UTR cells following C-209 (0.0195-20 μ M) treatments for 72hrs. (H) Histogram depicting relative mRNA transcript expression of BMI-1 and α ENaC from DU145 cells treated with C-209 2 μ M for 24 and 72hrs and compared to the effects of treatment with CHX 20 μ g/ml for 24 hr. Values were normalized over POLR2A housekeeping control. (I) Top, Western blot (WB) analysis for BMI-1 expression in CHX (1-50 μ g/ml) treated cells. Bottom, quantitation of relative BMI-1 protein expression upon treatments with CHX and C-209. (J) Selective effects of C-209 on BMI-1 mRNA translation in cell-free extracts. Top, WB analysis of translated full-length BMI-1 RNA (complete cDNA including BMI-1 5' and 3'UTRs) in *in vitro* transcription/translation (TNT) assays in eukaryotic cell-free rabbit reticulocytes. A cellular lysate in the left most lane was used as a positive control to determine the BMI-1 migrated band on the polyacrylamide gel at a position of ~37 KDa. Bottom, quantitation of normalized translated BMI-1 from BMI-1 cDNA pretreated or not with 2 μ M C-209 for one hour compared to control. (K) BMI-1 expression in vector-transduced (Sh-Scr), BMI-1-overexpressing (EGFP-BMI-1) and BMI-1-depleted (shBMI-1) DU145 cells. L, Cell viability evaluated in vector-transduced (Sh-Scr), BMI-1-overexpressing (EGFP-BMI-1) and BMI-1-depleted (shBMI-1) DU145 cells following C-209 (0.0195-20 μ M) treatments for 72hrs.

Figure 4 Antitumor activities of C-209 against patient-derived TICs. (A) BMI-1 expression levels assessed in normal (N) and tumoral (T) patient-derived samples before and after C-209

(2 μ M) treatment for 72hrs. **(B)** Antitumor activity of C-209 in DU145 and primary PCa cells. Percentage of survival was evaluated by MTS assay. **(C)** Representative cytofluorimetric analysis (left panel) and graphical plotting (right panel) of TIC modulation in primary patient-derived cells untreated and treated with C-209 (2 μ M) and docetaxel (2.5nM) for 72h. **(D-F)** Primary PCa cell survival, clonogenicity and motility assessed after pre-treatment with DMSO, C-209 (2 μ M) or docetaxel (2.5nM) for 96hrs. Data are displayed as mean percentage \pm S.D. Single independent experiments were performed with four to eight distinct patient-derived cells. * $p < 0.05$, ** $p < 0.01$ and *** $p < 0.001$.

Figure 5 Xenografts of human primary PCa cells in embryonic zebrafish. **(A)** Schematic illustration of the experimental procedure for the use of zebrafish PCa xenografts to identify small molecules targeting BMI-1 *in vivo*. **(B-D)** Histological sections from prostate cancer patient #24126 stained with H&E. Notice the morphology of the cells in **D** (arrow). **(E-G)** Formalin fixed paraffin embedded (FFPE) sections from a representative primary PCa tissue used that are stained with dual IHC or single IHC for Erg (in brown) or AMACR (in pink) showing identical expression pattern of both tumor markers (arrows). **(H-K)** Histological sections from a representative zebrafish xenograft at 8 dpt demonstrating tumor growth (arrow in **I**). **(J-K)** higher magnification of the tumor area in **I**. Notice that the morphology of the cells in **K** (arrows) is identical to the primary tissue sample in **D**. **(L-O)** IHC staining of the section in **M** showing expression of PSA in cells (arrow) of primary PCa fish xenografts. Scale bars are 250 μ m in **B, E, H**, and 10 μ m in **D, F, G, K** and **O**.

1 **Figure 6** Treatment effects of C-209 on zebrafish xenografts. (A) Representative images of
2 vehicle-, and QD-labeled primary CD49b^{hi}CD29^{hi}CD44^{hi} engrafted cells. Images are overlays of
3 bright, GFP and red 605 fluorescent images at 4 days post-transplantation (dpt). (B) Anti-tumor
4 activity of C-209. Reduction in tumor size monitored with reduced QD fluorescence (blue
5 arrows). (C) Anti-tumor activity of C-209 (2μM) against xenografts derived from either parental
6 cells (yellow) or the TIC fraction (orange) from three primary samples. The graph demonstrates
7 responses to C-209 as a percentage of total treated xenografts (n=25 xenograft per cell fraction
8 per patient). (D) Evaluation of tumor area variation calculated as fluorescence intensity of
9 untreated and treated xenografts with C-209 (2μM). Data are presented as mean value ± S.D.
10 (E) Tumorigenic capacity of primary PCa TICs in zebrafish xenografts. Cells, pre-treated with
11 C-209 (2μM) or docetaxel (2.5nM), were washed after 4 days, plated in fresh media for 3 days
12 and subsequently injected in equal number into adult zebrafish. Data are displayed as mean
13 percentage ± S.D. from four distinct patients (#29663, #29834, #29084 and #29990). (F) The
14 graph displays the percentage ± S.D. of Ki67 positive cells in DMSO (control), C-209 (2μM) or
15 docetaxel (2.5nM) treated-cells. (G) Strategy employed to determine inhibition of tumor
16 initiation potential of remaining treated cells in secondary xenografts. TICs of patient samples
17 #40181, #26136, and #25854 were transplanted to generate primary xenografts (1°). Diagram on
18 the right demonstrates primary graft take rates. Xenografts were treated (TRT) with either
19 DMSO or C-209 at 2μM for 72 hours, tumor areas were dissected, pooled, and TICs were sorted
20 and injected into secondary recipients. Treatment with C-209 significantly reduced the rates of
21 secondary xenografts (2°). (H-I) BMI-1 expression (arrows) in sections of primary and
22 metastatic colonies derived from TIC zebrafish grafts. The numbers of BMI-1⁺ cells were

derived from analyses of primary and metastatic xenografts (n= 9 each). Scale bars are 250 μ m in **B** and 50 μ m in **H**.

Figure 7 *In vivo* pharmacological targeting of BMI-1 in mouse PCa xenografts. **(A)** Strategy for examining the antitumor activity of C-209 in serial mouse xenografts and clonogenic repopulation assays of treated cells. **(B)** Growth rate of mouse xenografts generated after subcutaneous (SC) injection of CD49b^{hi}CD29^{hi}CD44^{hi} Luc2EGFP cells. Mice were randomized and administered daily with 60 mg/kg/day of C-209 for ten days and docetaxel 6mg/kg once a week for two consecutive weeks. Results are mean \pm S.D. of six independent experiments. Comparison of tumor volumes between the three groups was determined by two-way ANOVA with Bonferroni post-hoc test. Graph indicates significance of Docetaxel vs. Control at day 30 (**p<0.01) and C-209 vs. Control at day 30 (****p<0.0001). There was a trend towards significance (p=0.08) when comparing tumor volumes in xenograft treated with C-209 vs. Docetaxel at day 30 using Mann-Whitney U test. At the earlier days 20 and 25, Docetaxel was not significantly different than Control, while C-209 was; C-209 vs. Control at day 20 (*p<0.05); C-209 vs. Control at day 25 (**p<0.01). Red arrow indicates treatment discontinuation. In each experiments, n=8/group. **(C)** Representative H&E staining of mouse xenograft sections showing histological effects of treatments. **(D)** Intratumor IHC revealed reduced nuclear BMI-1 (brown) and surface CD44 (red) staining upon treatment with C-209. **(E)** Quantitation of Ki67 positive cells in sections from treated xenografts. (***p<0.001). **(F)** Colony-forming ability assay performed on freshly dissociated and EGFP sorted xenograft-cells. Average number of colonies/plate for each treatment mean \pm S.D. of two independent experiments with 12 wells/condition is reported. *p<0.05, ***p<0.001 **(G)** Tumor initiation potential in serial grafting

- 1 in secondary mouse xenografts of cells dissociated from treated primary mouse xenografts (n=8
- 2 mice /group, *p<0.01).

Fig. 1

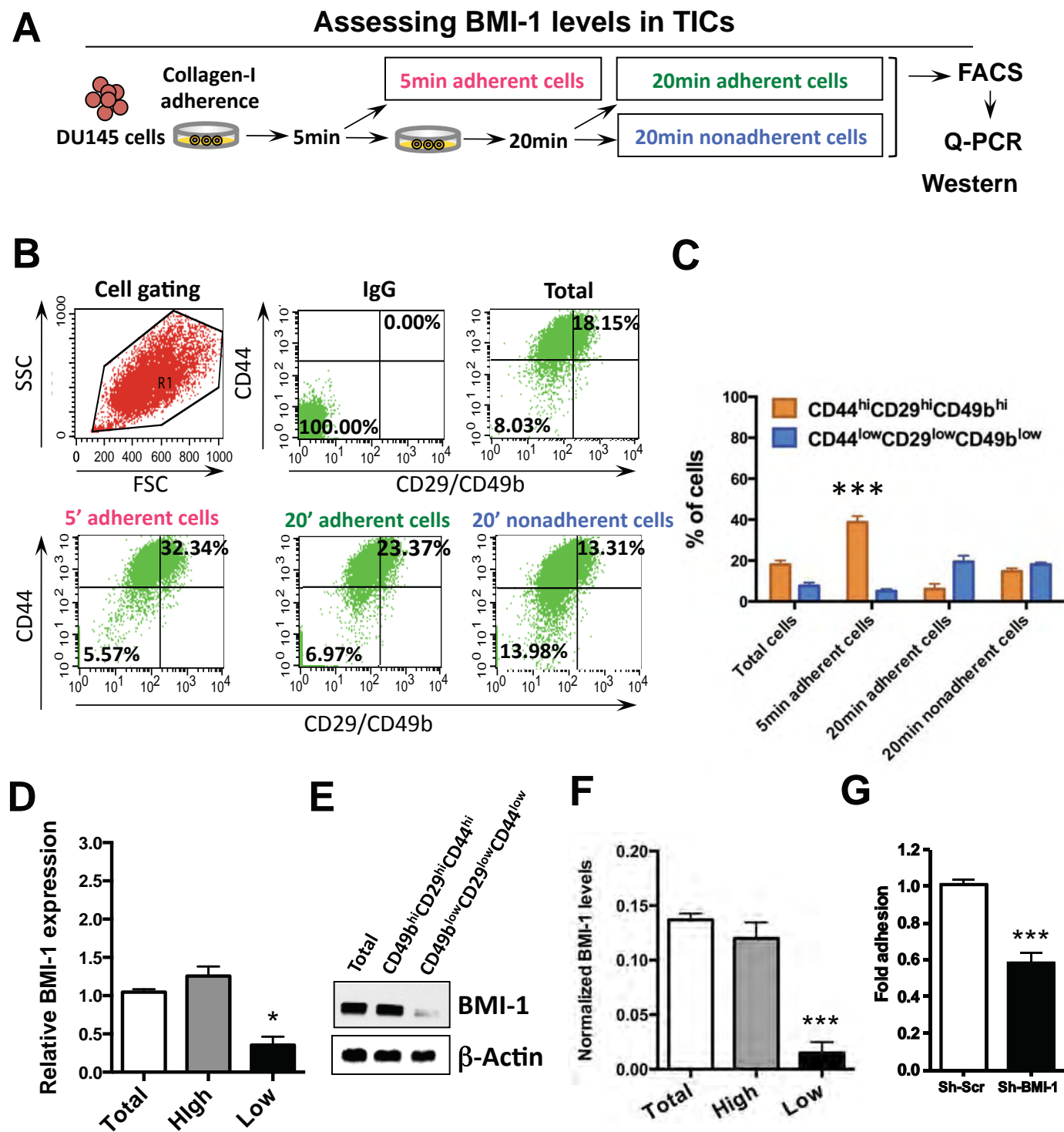


Fig. 2

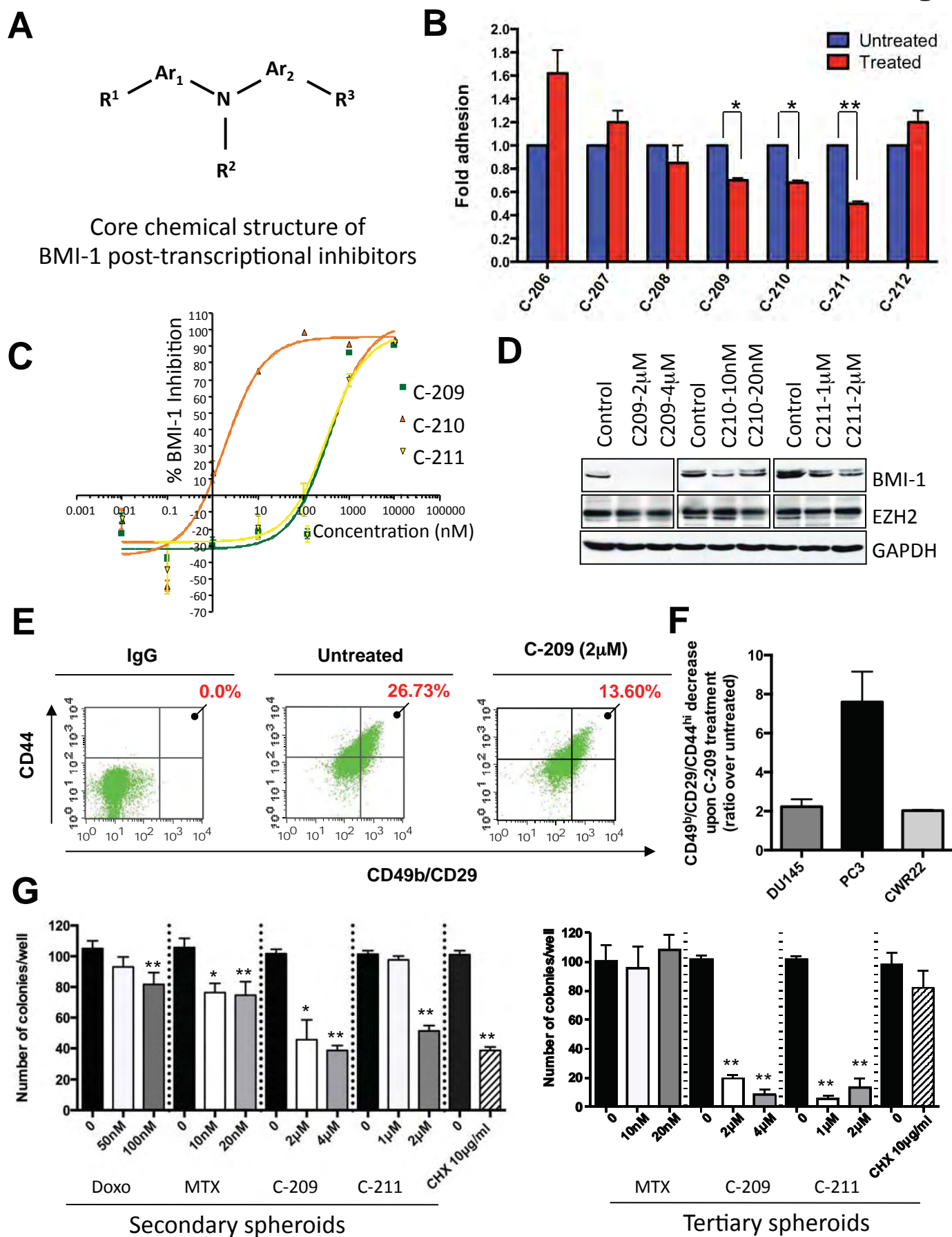


Fig. 3

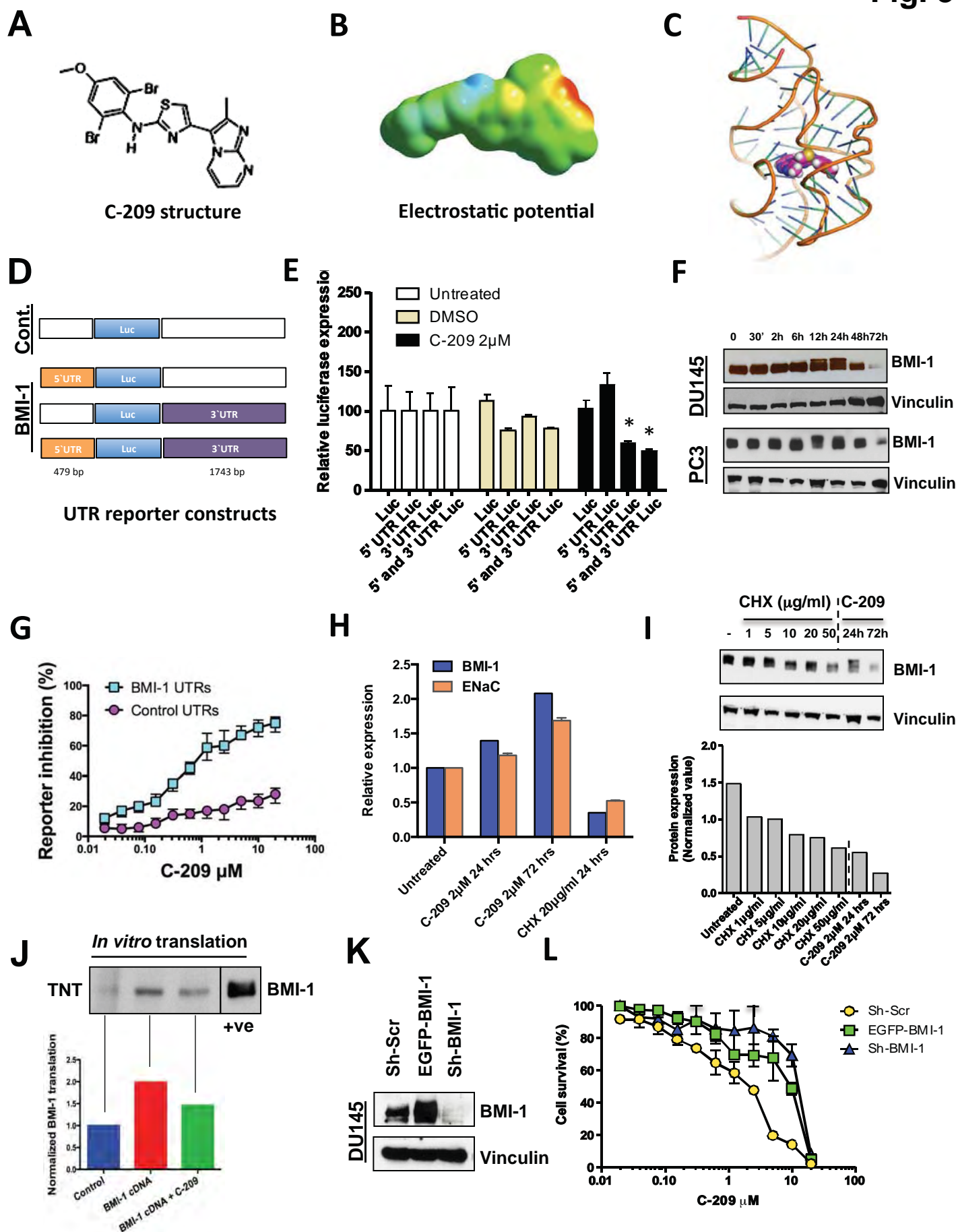


Fig. 4

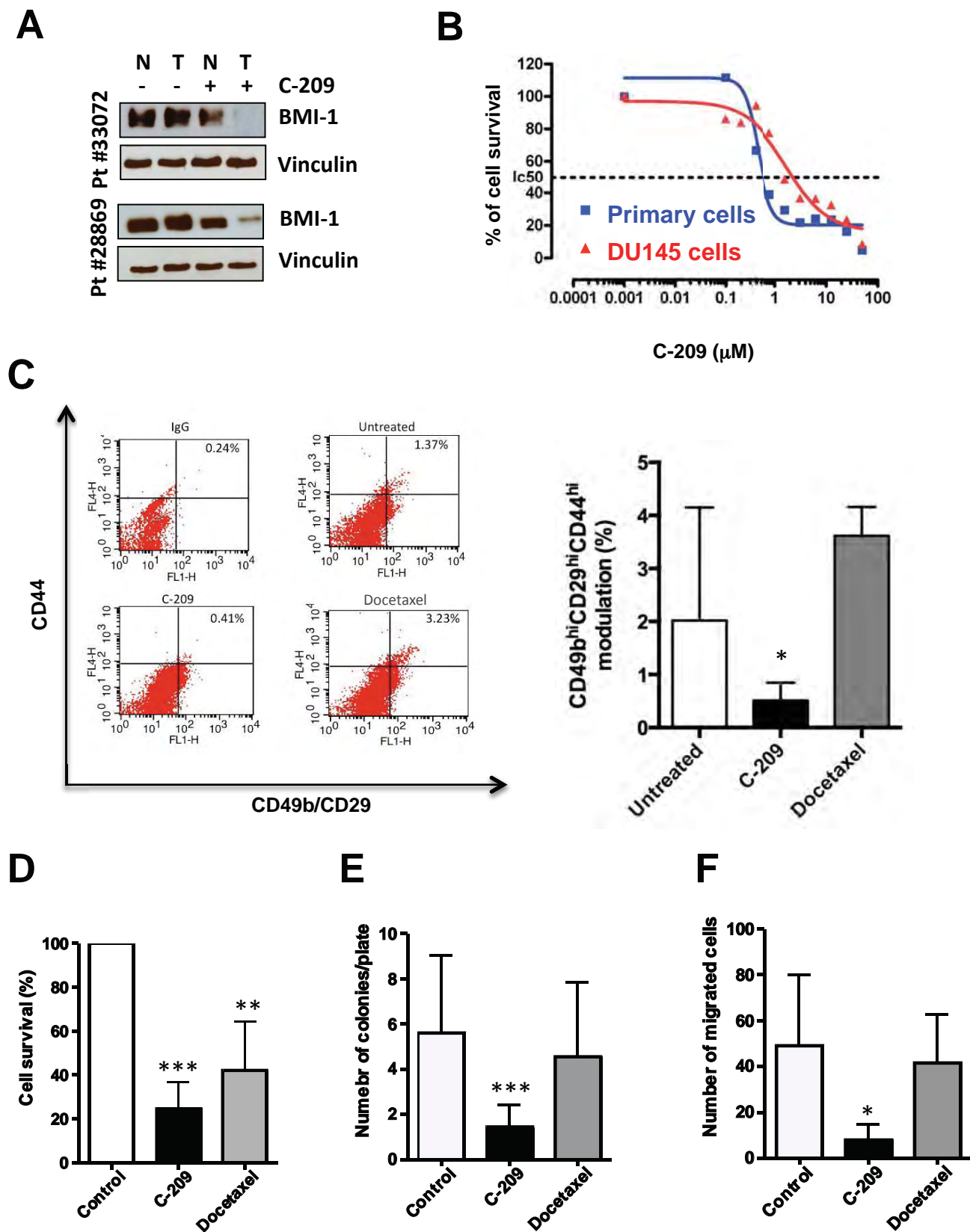


Fig. 5

A

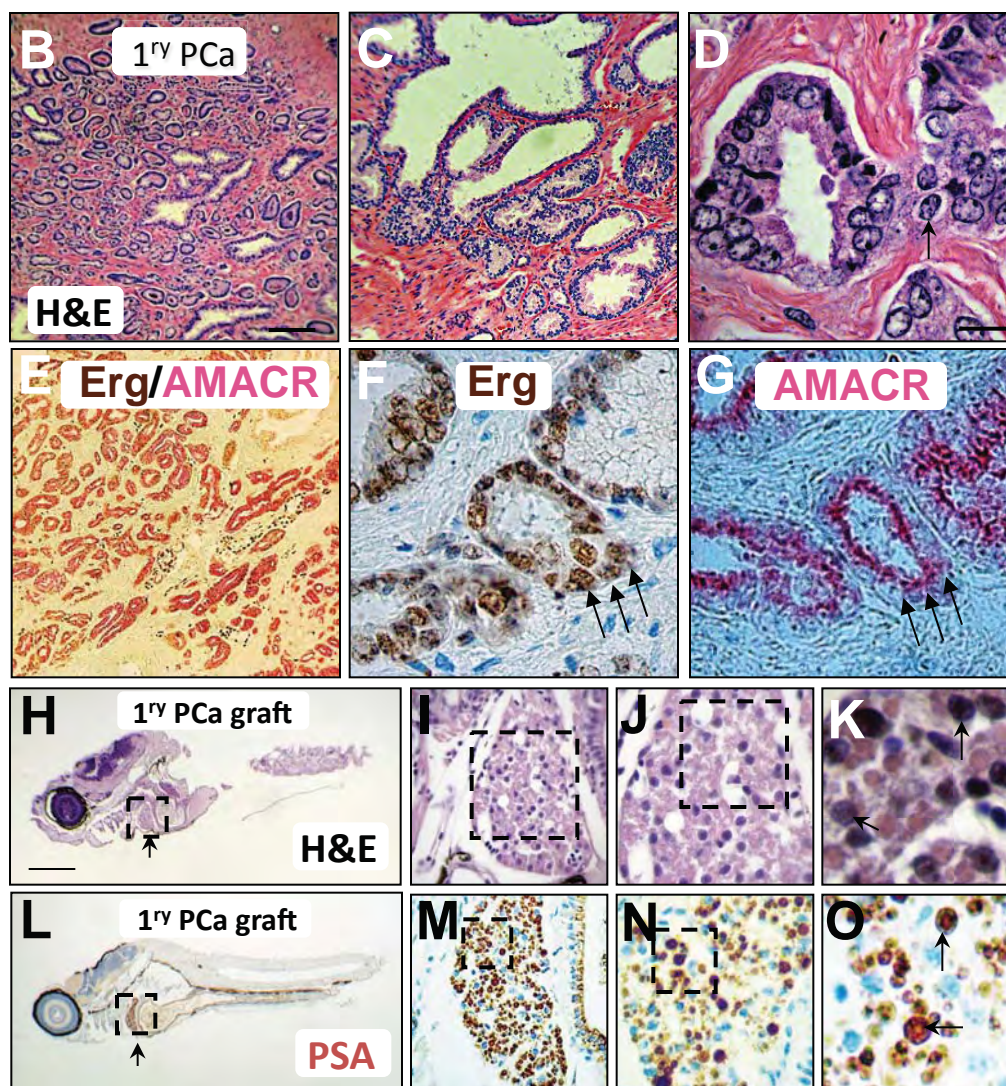
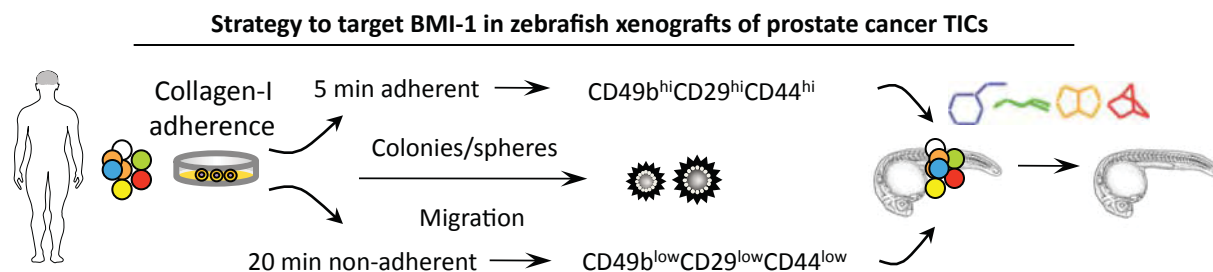


Fig. 6

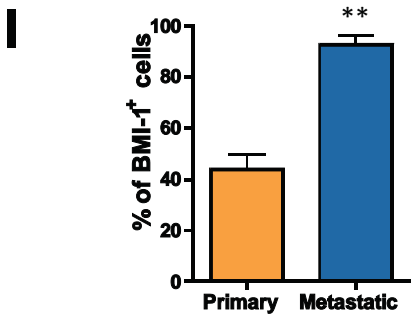
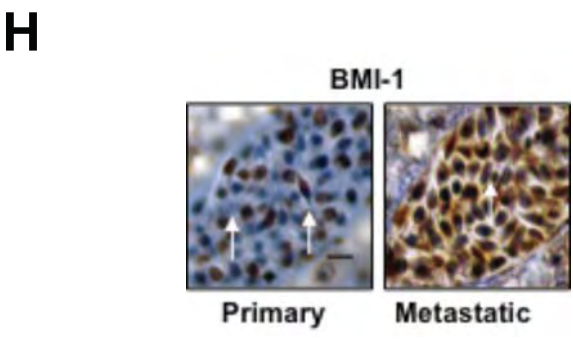
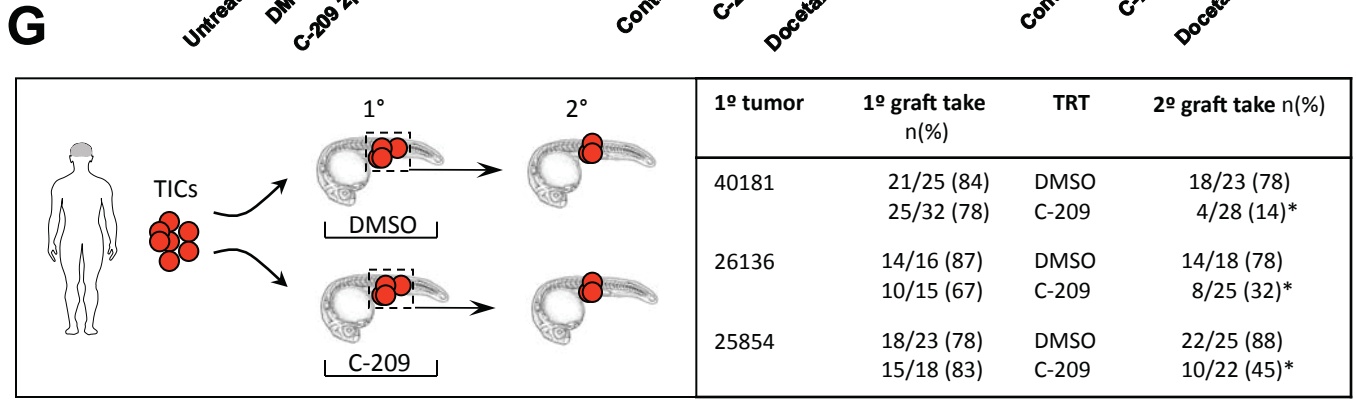
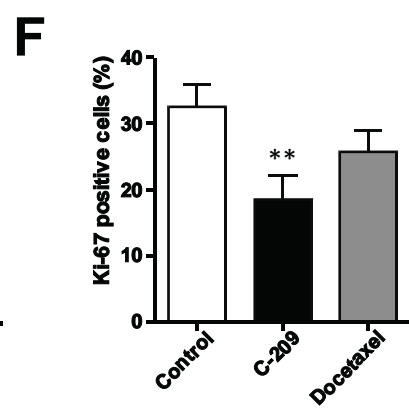
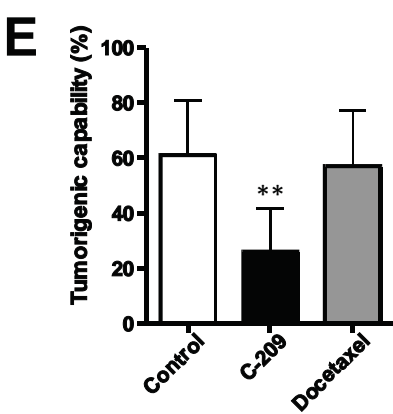
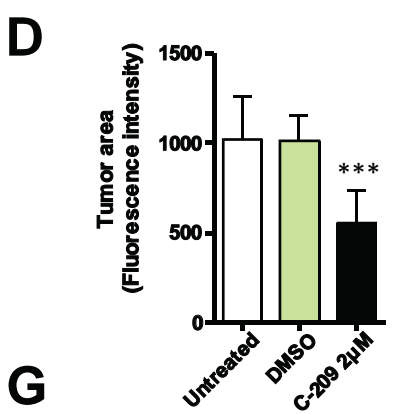
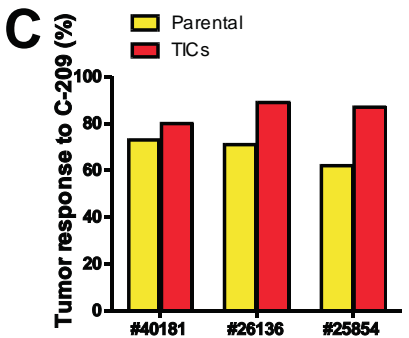
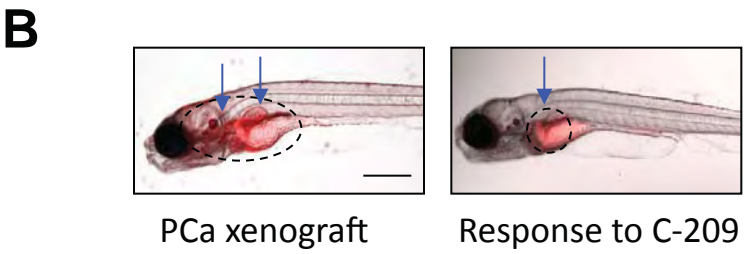
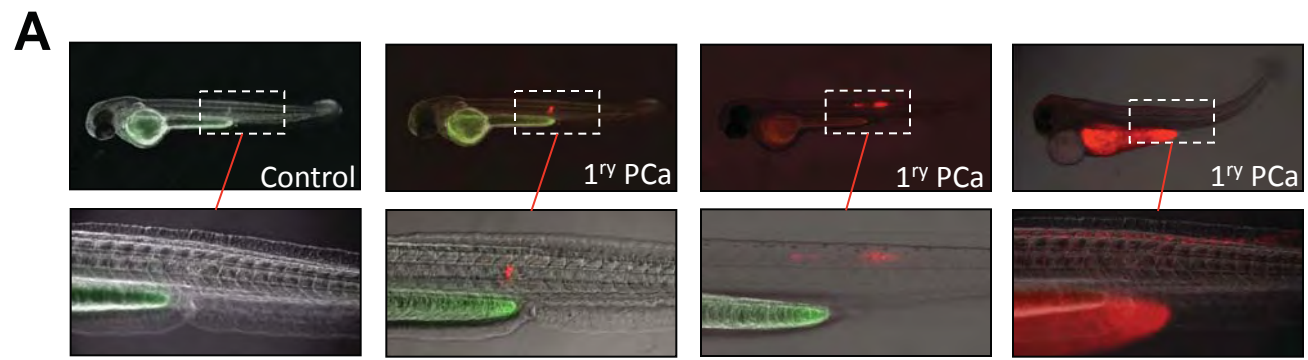
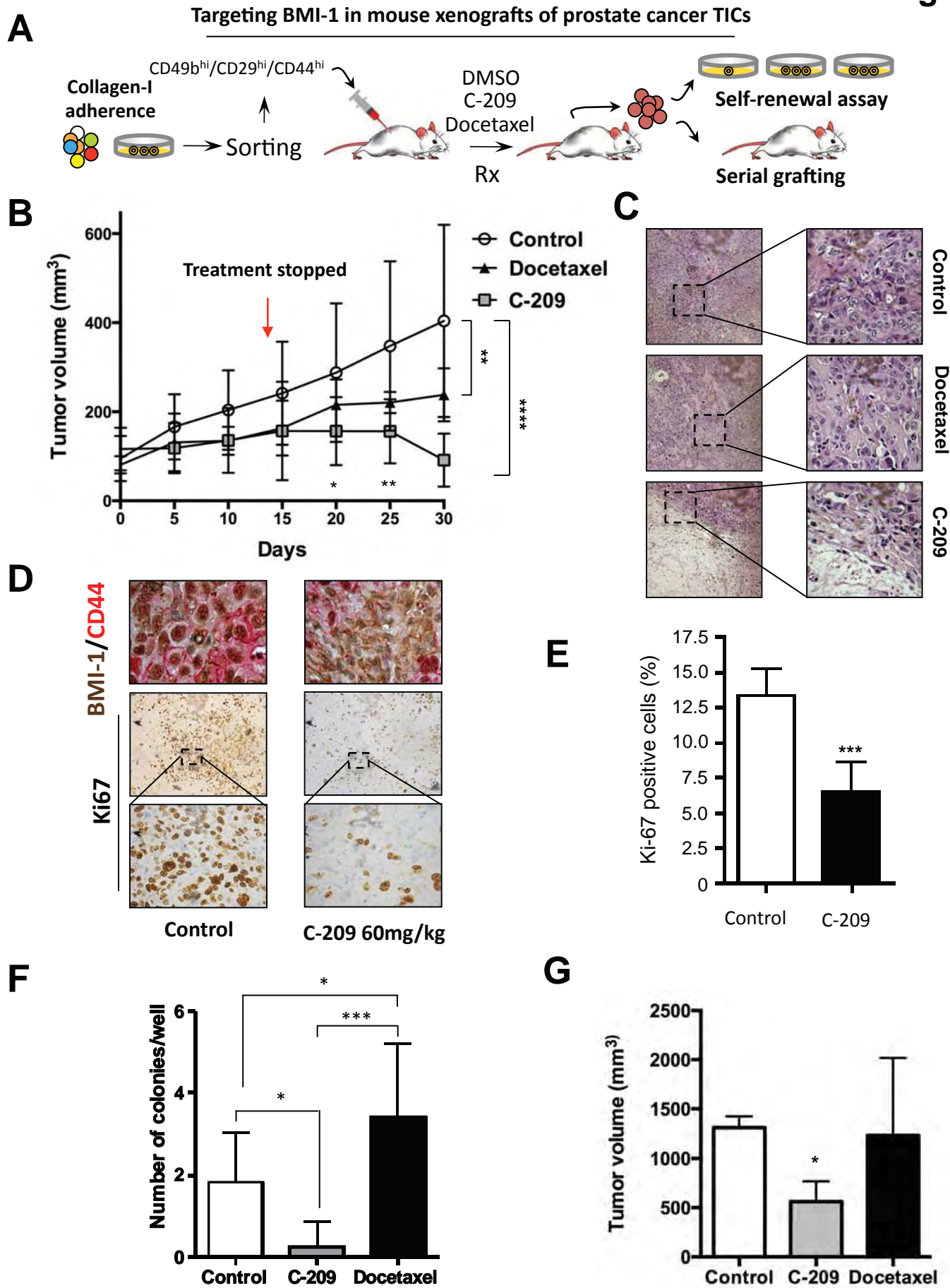


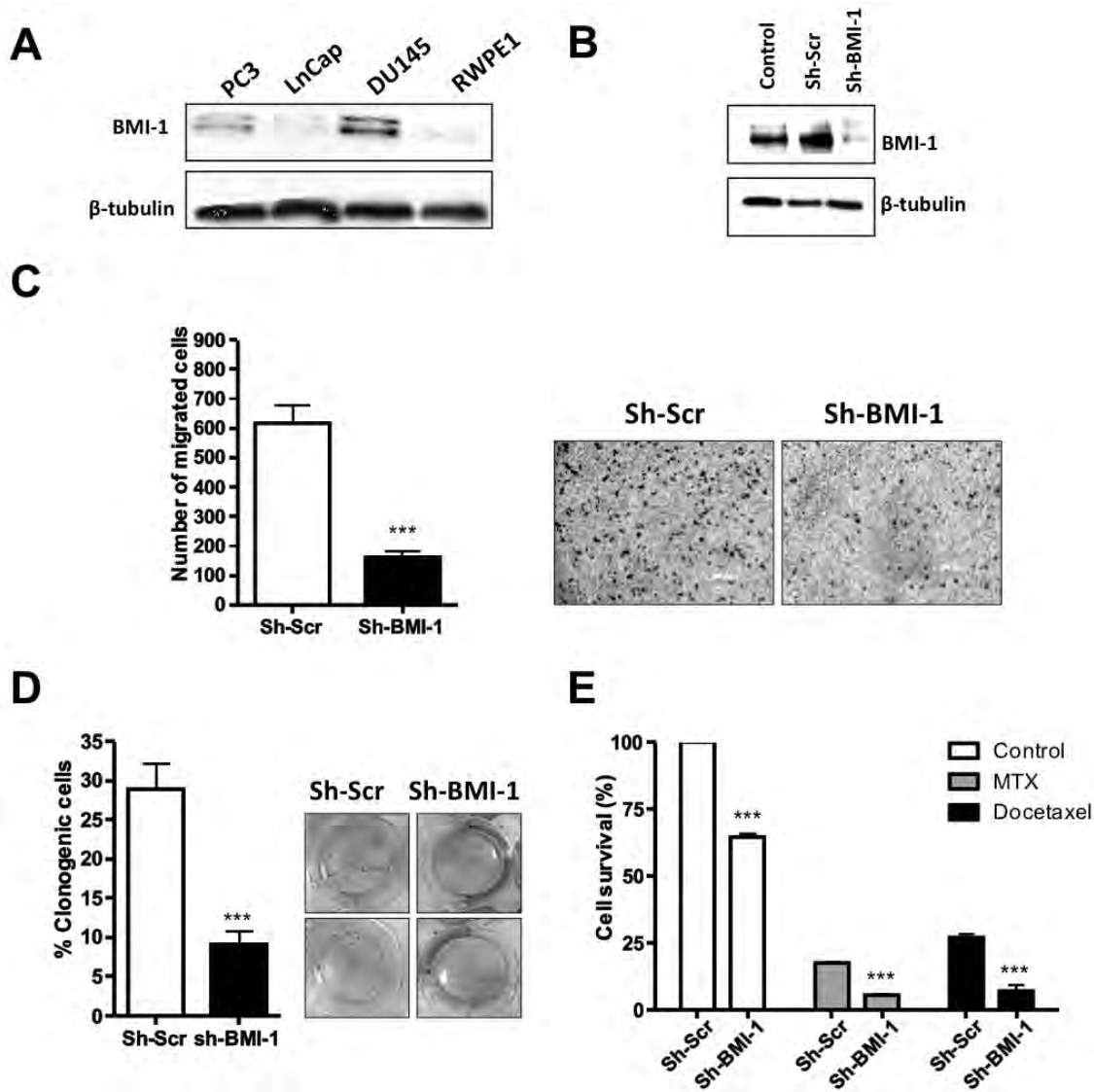
Fig. 7

Supplementary data

BMI-1 targeting interferes with patient-derived tumor-initiating cell survival and tumor growth in prostate cancer

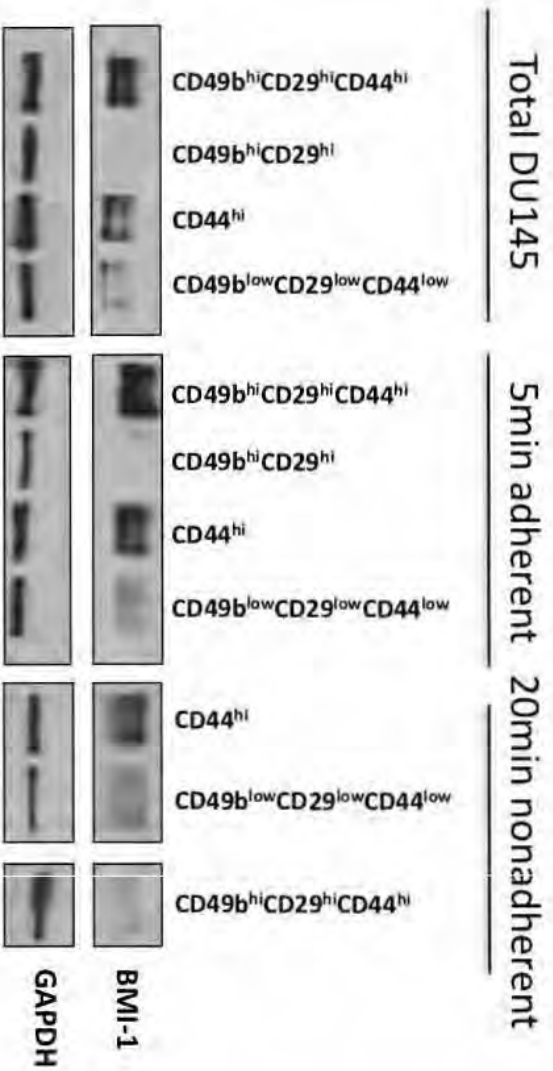
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Supplementary figures

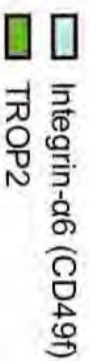


Supplementary Figure S1 Functional role(s) of BMI-1 in PCa. **(A)** Western Blot analysis for BMI-1 expression in prostate cancer cell lines (PC3, LNCap, and DU145), and in immortalized normal prostate epithelial cells (RWPE-1). **(B)** Western blot analysis for BMI-1 expression in cell lysates from untransduced DU145, control vector-transduced (Sh-Scr) and BMI-1-depleted (shBMI-1) cells. β-tubulin was used to assess equal loading. **(C)** Left: Graph showing the number of migrated cells in standard growth conditions. For the migration assay, 20,000 DU145 Sh-Scr and sh-BMI-1 cells were plated in modified Boyden chambers. Migrated cells were stained with Comassie Blue and counted under the microscope after 96h (Scale bars 200μm). A representative image is shown (right panel). Graph is showing the outcome of three independent experiments. **(D)** Percentage of clonogenic cells upon BMI-1 knockdown compared to sh-Scr control. Images on the right demonstrate colonies stained with crystal violet. **(E)** Percentage of cell survival upon combined knockdown of BMI-1 and treatment with chemotherapeutic agent methotrexate (MTX, 10nM) or docetaxel (2.5 nM) compared to DMSO controls. Results are shown as mean ± S.D. of three independent experiments. ***P*-value <0.01, ****P*-value <0.001.

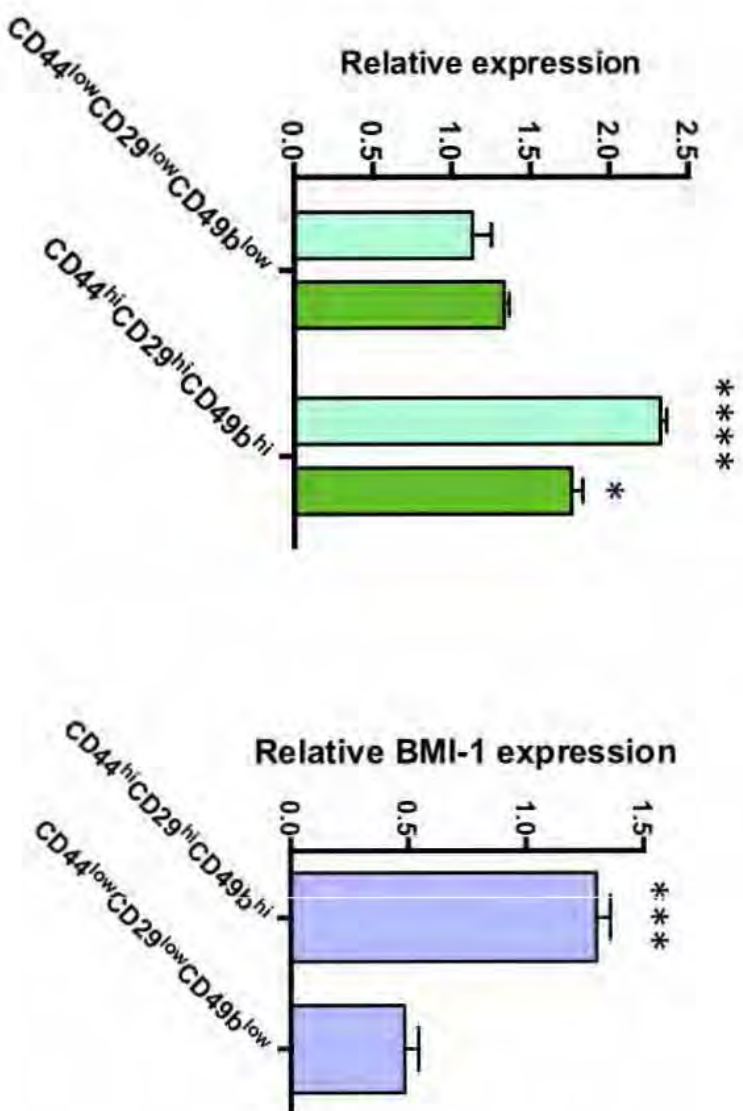
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B

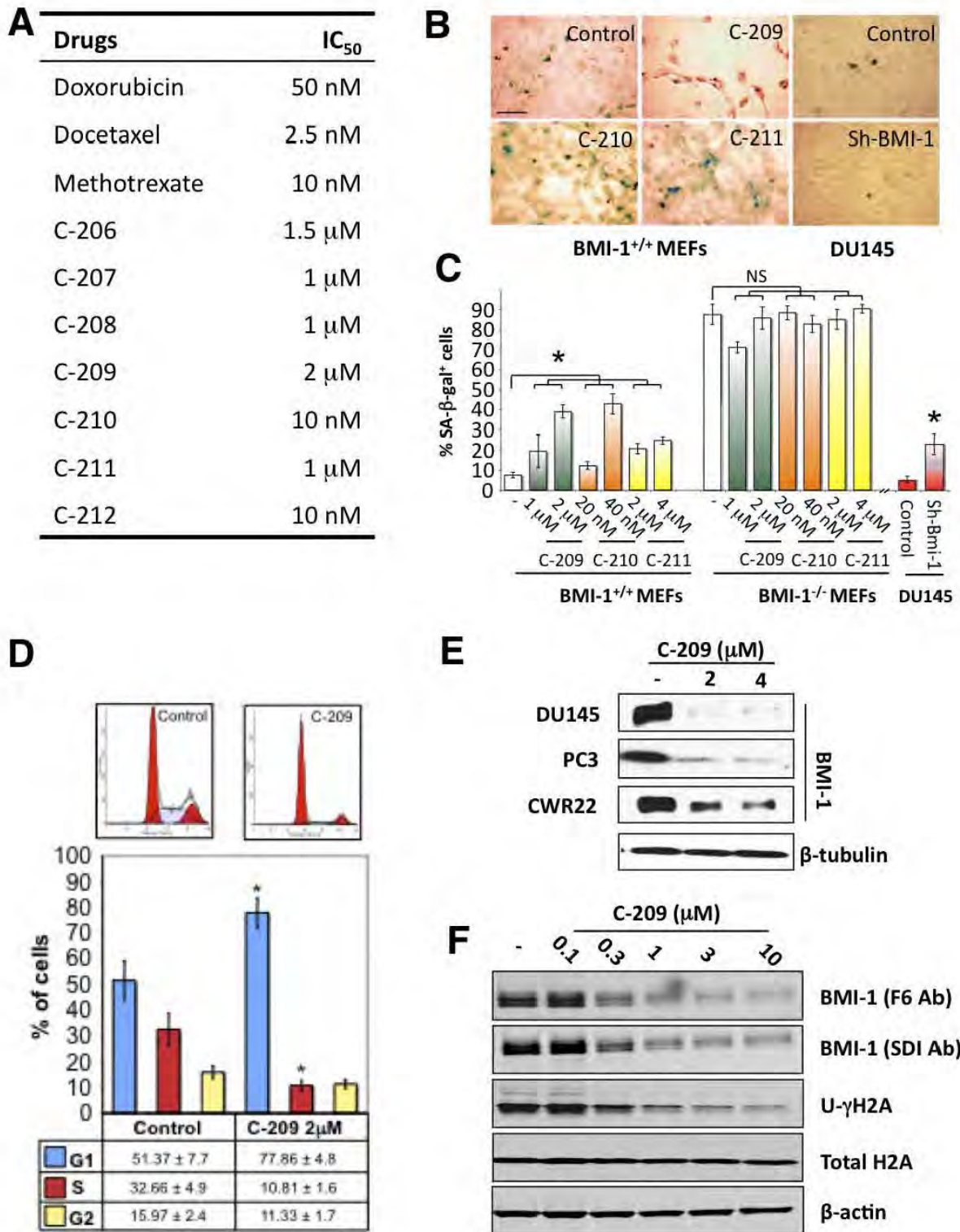


C



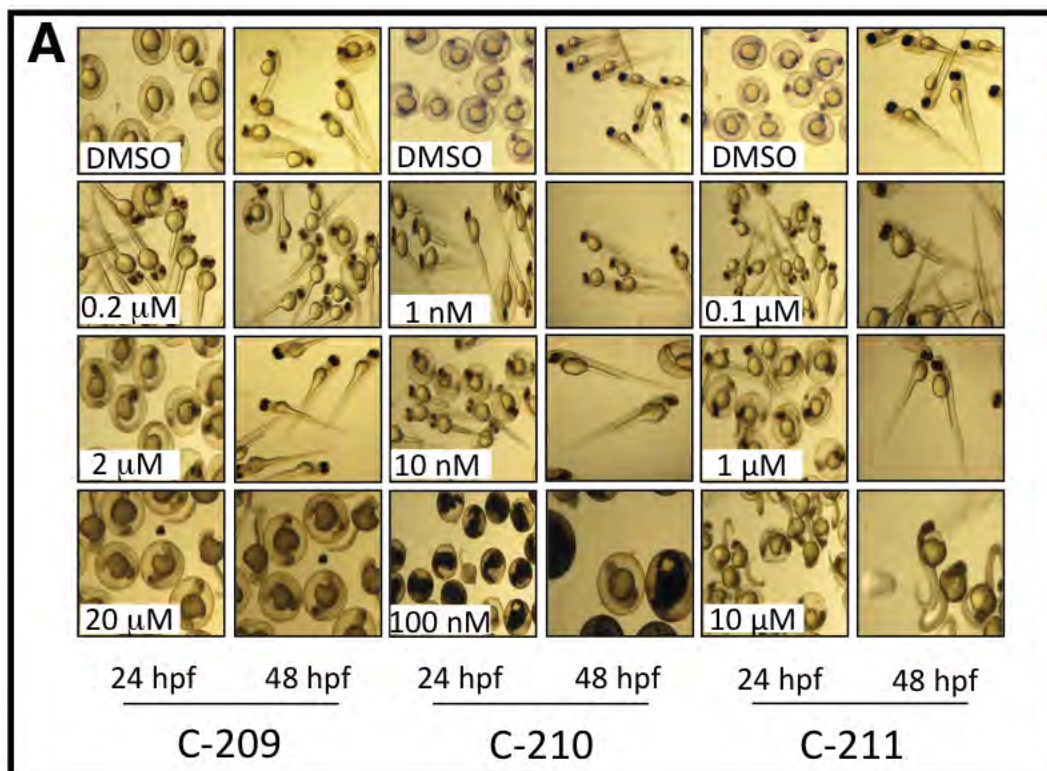
Supplementary Figure S2 BMI-1 expression in subpopulations of DU145 cells. (A) Western blot analysis for BMI-1 expression in subpopulations of adherent, nonadherent and/or sorted DU145 cells. GAPDH was used for equal loading. (B) Q-PCR analyses of CD49f (integrin-α6) and TROP2 in subpopulations of DU145 cells. (C) Q-PCR analyses of BMI-

1 in subpopulations of DU145 cells. Comparison of the differences in relative expression between each subpopulation and total CD49b^{low}CD29^{low}CD44^{low} DU145 cells was determined using Mann-Whitney U test. Graph indicates significant enrichment of CD49f (***p<0.0001) TROP2 (*p<0.05) in **b** and BMI-1 (***p<0.001) in **c**, in the 5min adherent CD49b^{hi}CD29^{hi}CD44^{hi} cells vs. CD49b^{low}CD29^{low}CD44^{low} DU145 cells. Results are shown as mean \pm S.D. of 3 independent experiments.



Supplementary Figure S3 Pharmacological inhibition of BMI-1. (A) IC₅₀ concentrations of doxorubicine, methotrexate, docetaxel and Bmi-1 inhibitors C-206 to C-212. IC₅₀s were determined using MTS assays on DU145 cells. (B) SA- β -gal staining of mouse embryonic fibroblasts (MEFs) and DU145 cells treated for 72h with C-209, C-210, or C-211 IC₅₀s. The reduced cell density in the image after treatment with C-209 is due to significant killing of DU145 cells. Scale bar is 50 μ m. (C) Quantitation of SA- β -gal staining in control and C-209-, C-210-, or C-211-treated MEFs with (Bmi-1^{+/+}) or without Bmi-1 expression (Bmi-1^{-/-}), and in sh-Bmi-1 targeted DU145 cells. Note that Bmi-1-null MEFs have high levels

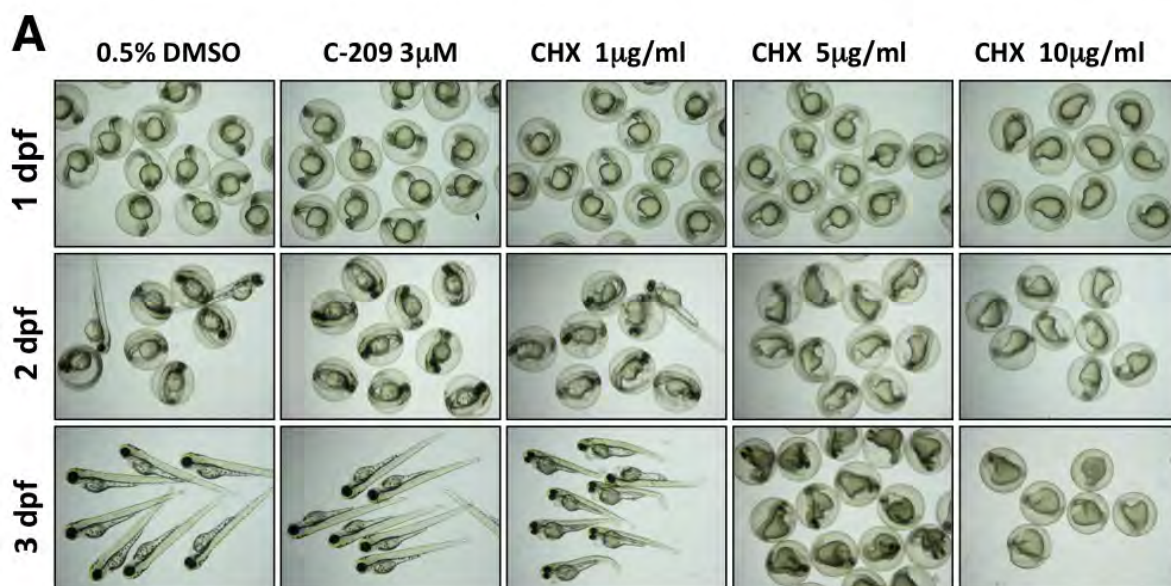
of senescence. (* $p < 0.01$ compared to untreated cells, NS, not significant). **(D)** Cell cycle analyses assessed on DU145 cells treated with C-209 (2 μ M) for 72hrs. In bottom panel, results are shown as mean \pm S.D. of three independent experiments **(E)** BMI-1 expression levels in DU145, PC3 and CWR22 PCa cells treated with increasing concentrations of C-209 (indicated). β -tubulin (shown only from DU145 cells) was used as loading control. **(F)** The expression of BMI-1 using two different antibodies (Targeting the full-length of the protein (F6 Ab) or the carboxyl terminal (SDI Ab)) in response to C-209. Notice the dose-dependent reduction of the C-terminal lysine-119 mono-ubiquitinated form of γ -H2A (U), a specific product of the BMI-1/PRC1 complex, compared to total H2A and β -actin.



B Survival of zebrafish embryos after treatment with BMI-1 inhibitors (%)

	DMSO	C-209 (μ M)			C-210 (nM)			C-211 (μ M)		
		0.2	2	20	1	10	100	0.1	1	10
24 hpf	98 \pm 1	97 \pm 1	98 \pm 1	90 \pm 3	98 \pm 1	96 \pm 4	0	99 \pm 1	98 \pm 1	0
48 hpf	98 \pm 1	98 \pm 1	97 \pm 2	65 \pm 4	97 \pm 1	90 \pm 4	0	98 \pm 1	98 \pm 1	0

Supplementary Figure S4 Examining BMI-1 inhibitors in toxicological assays and effects on normal cells. **(A)** Bright field images of zebrafish embryos treated with BMI-1 inhibitors at the showed concentrations, and compared to vehicle treatment with DMSO. Progress in normal embryonic development is indicated by hatching of the embryos outside of the surrounding chorionic shell, typically occurring at 48-72 hour post-fertilization (hpf). The dark areas indicate necrotic tissues due to toxic effects. **(B)** Survival of zebrafish embryos after 24 and 48 hpf. In each treatment, at least 50 embryos were employed. Survivals are presented as mean percentage \pm S.D. from three independent experiments. Compounds were dissolved in DMSO and added to embryo water starting at 12 hpf.



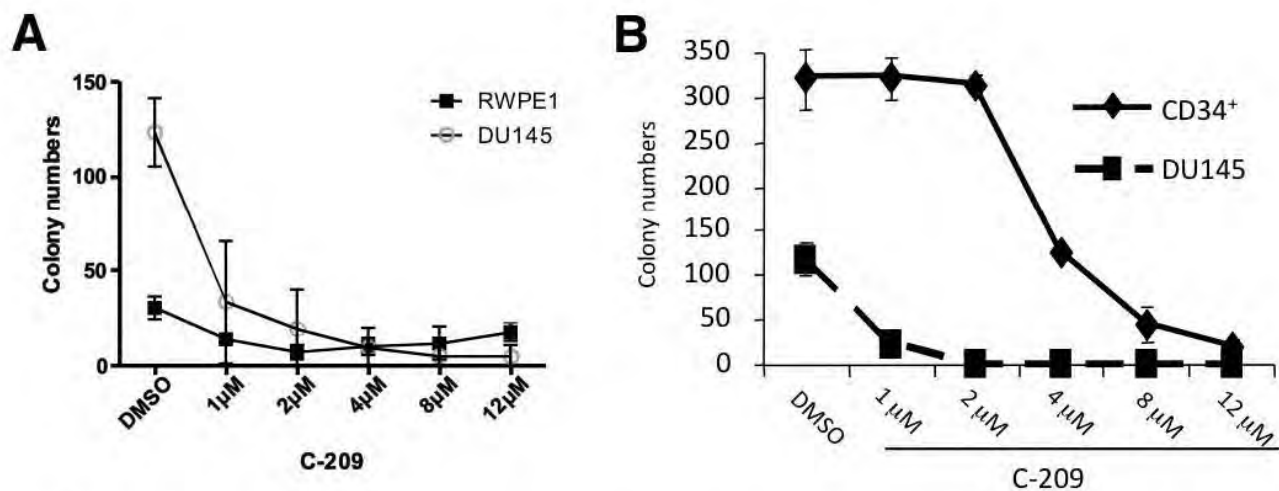
Survival of zebrafish embryos after treatments (%)

	DMSO	C-209 3 μ M	CHX 1 μ g/ml	CHX 5 μ g/ml	CHX 10 μ g/ml
24 hpf	98 \pm 0.6	98 \pm 0.6	98 \pm 0.6	98 \pm 0.6	98 \pm 1
48 hpf	98 \pm 0.6	98 \pm 0.0	98 \pm 0.6	0	0
72 hpf	98 \pm 0.6	98 \pm 0.0	97 \pm 0.6	0	0

B **Survival of adult zebrafish after treatments (%)**

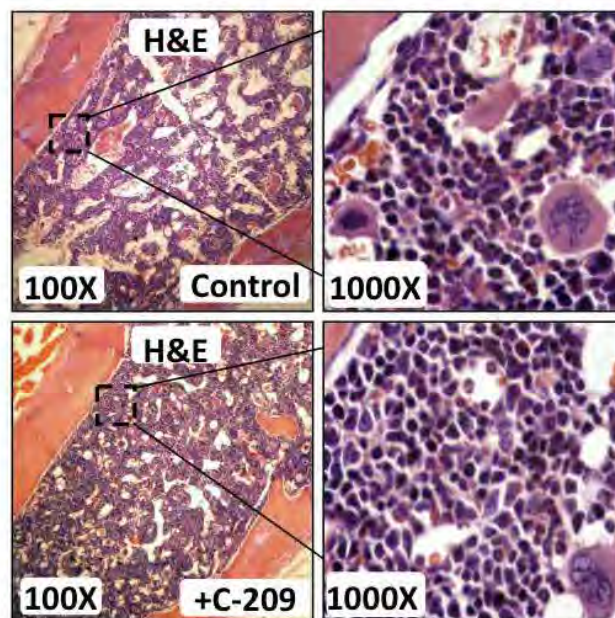
	Controls	209 2 μ M	CHX 1 μ g/ml	CHX 5 μ g/ml	CHX 10 μ g/ml
24 hpt	100	100	100	0	0
48 hpt	100	100	100	0	0
72 hpt	93	100	90	0	0

Supplementary Figure S5 Toxicity effects of C-209 and CHX on adult and embryo zebrafish. **(A)** Upper panel: Bright field images of zebrafish embryos treated with Cycloheximide (CHX), C-209, or DMSO at the indicated concentrations. Compounds were added to embryo water at 12 hours post-fertilization (hpf) to examine the effects on embryo development and survival for 3 days. CHX treated embryos displayed toxic effects such as cardiac edema and curling of tails by 3dpf at the dose of 1 μ g/ml. DMSO control and C-209 treated embryos appeared normal at 3dpf (days post-fertilization). At 5 μ g /ml CHX, embryos appear mostly normal at 1dpf, but then die by 2dpf as determined by lack of a beating heart. At 10 μ g/ml CHX, embryos demonstrate the developmental growth arrest sooner 18hpf to 1dpf, and are dead by 2dpf. At least 20 embryos were used per treatment. Lower panel: Survival table presented as mean percentage \pm S.D. from three independent experiments. **(B)** Survival table of 6-10 week old zebrafish. A 12-well plate containing 4ml of treatment water and 2 fish per well was incubated at the normal fish maintenance temperature of 28.5 C for three days. Treatment water was changed daily due to accumulation of debris in the wells. The controls include untreated water, 0.5% DMSO, and 0.5% Ethanol. Ten fish per group were used in two independent experiments.



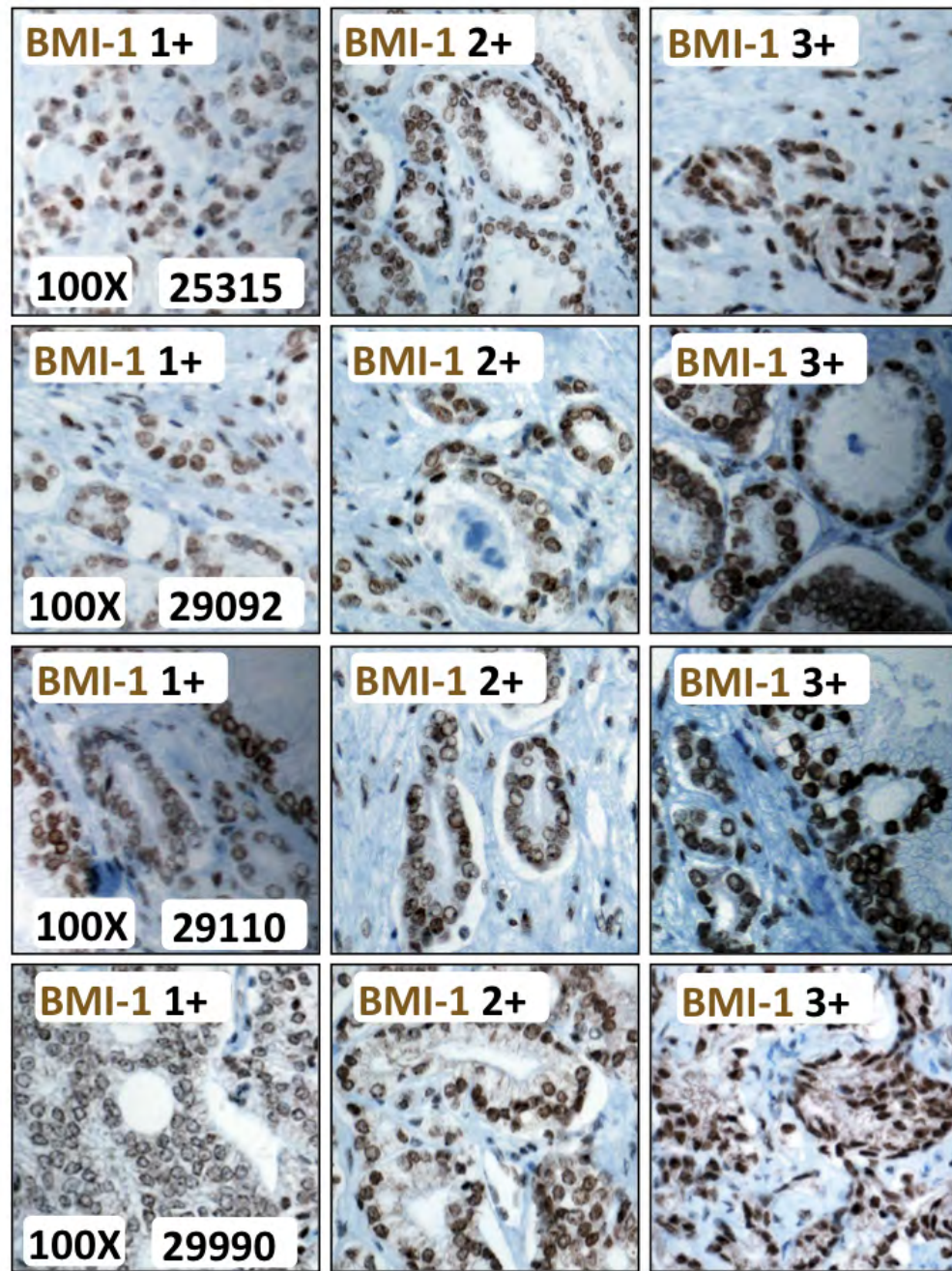
C Mice peripheral blood hematological profile following C-209 treatment

	CTRL		C-209	
	Mean	\pm SD	Mean	\pm SD
WBC ($\times 10^3/\mu\text{L}$)	1.22	\pm 0.92	1.34	\pm 0.53
Neutrophils	78.40	\pm 8.26	77.40	\pm 7.83
Lymphocytes	13.20	\pm 5.54	13.60	\pm 5.90
Monocytes	4.20	\pm 3.35	3.20	\pm 2.49
Eosinophils	3.60	\pm 4.34	5.20	\pm 4.38
Basophils	0.60	\pm 0.89	0.60	\pm 0.89
RBC ($\times 10^6/\mu\text{L}$)	7.30	\pm 0.44	6.76	\pm 0.34
Hemoglobin	11.97	\pm 0.99	11.06	\pm 0.88
PLT ($\times 10^3/\mu\text{L}$)	883.33	\pm 472.35	1442.20	\pm 136.92

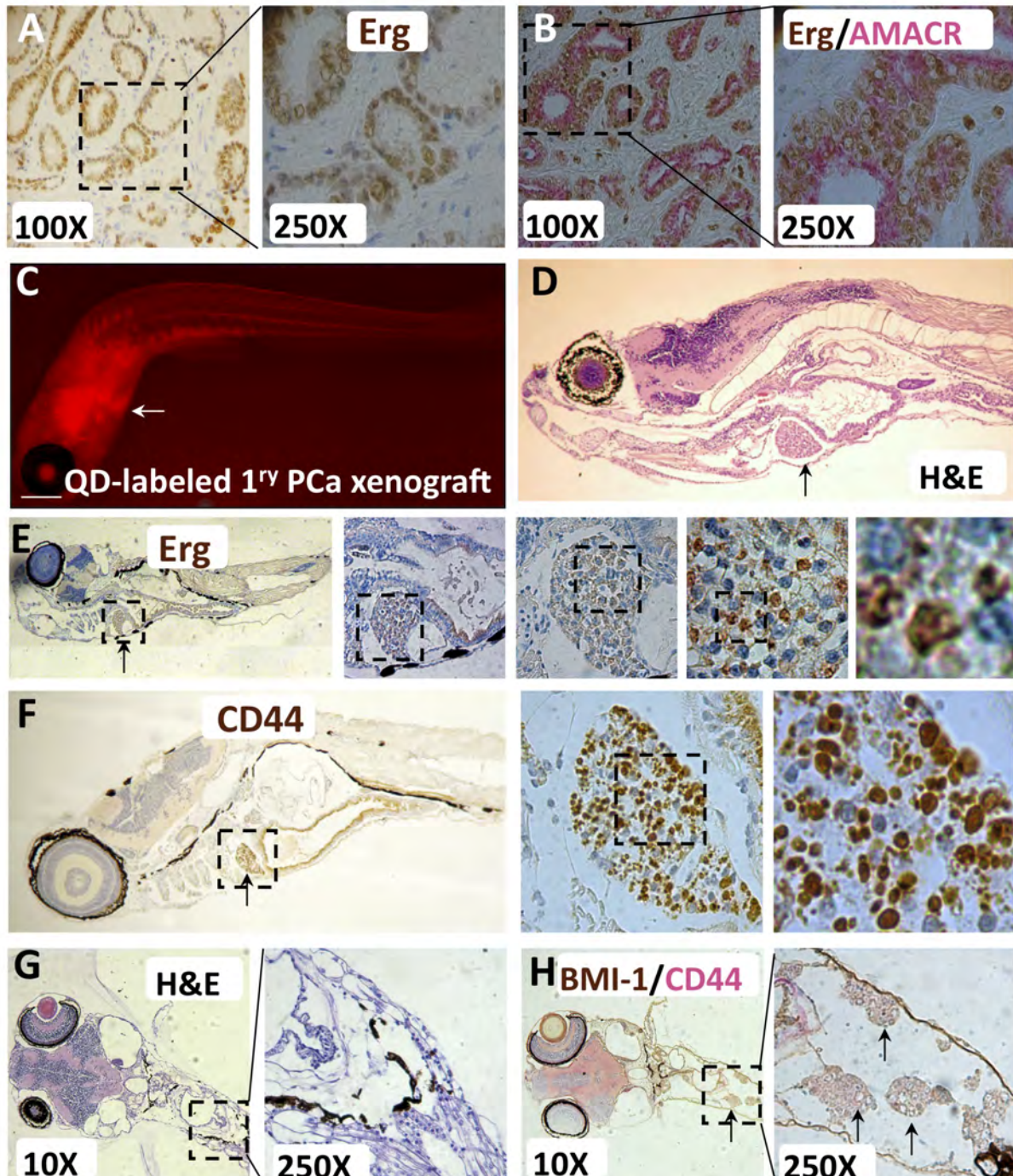


Supplementary Figure S6 BMI-1 inhibition effect on the normal prostate and hematopoietic system. (A) Normal epithelial RWPE1 and tumoral DU145 prostate cells were treated with the indicated concentration of C-209 for 72h. Subsequently, cells were collected, counted and 200 cells for each condition were plated to assess colony-forming

efficiency. Data plotted represent four independent experiments ($p < 0.0001$ between DMSO and 1-12 μM in DU145 cells, NS between DMSO and 1-12 μM in RWPE1 cells). **(B)** Human CD34^+ cells grown in methocult for hematopoietic colony assays and 200 DU145 cells were plated in 6-well tissue culture dishes and treated in parallel with C-209 at the indicated concentrations. Colony counts represent three independent experiments ($p < 0.0001$ at 1-4 μM). **C**, Upper panel: Peripheral blood parameters of C-209-untreated and -treated mice (C-209 60mg/kg/day for ~2 weeks). All mice survived treatments with no apparent phenotypic changes. Peripheral blood was obtained from cardiac puncture bleeding and analyzed within 4hrs from mice sacrifice. N=9 mice/group were analyzed. Student's t-test comparing CTRL and C-209 treated mice indicates no significant differences between the two groups. Lower panel: hematoxylin/eosin staining of bone marrow biopsy sections from the femur derived at day 14 from the treated and untreated (Control) mice. Notice the similar cellularity of the bone marrow of the treated and control mice. The smears demonstrated the presence of heterogeneous cell types including the larger megakaryocytic lineages. Representative images were taken with 10 \times and 100 \times objectives.



Supplementary Figure S7 Representative images of BMI-1 expression in primary PCa samples. BMI-1 expression was assessed as the extent of nuclear immunoreactivity by IHC. Score values for BMI-1 levels were: number of BMI-1 strongly staining nuclei (3+), number of BMI-1 moderately staining nuclei (2+), and number of BMI-1 weakly staining nuclei (1+). For each of the 4 representative patient derived tissues, heterogeneity of BMI-1 expression was observed.



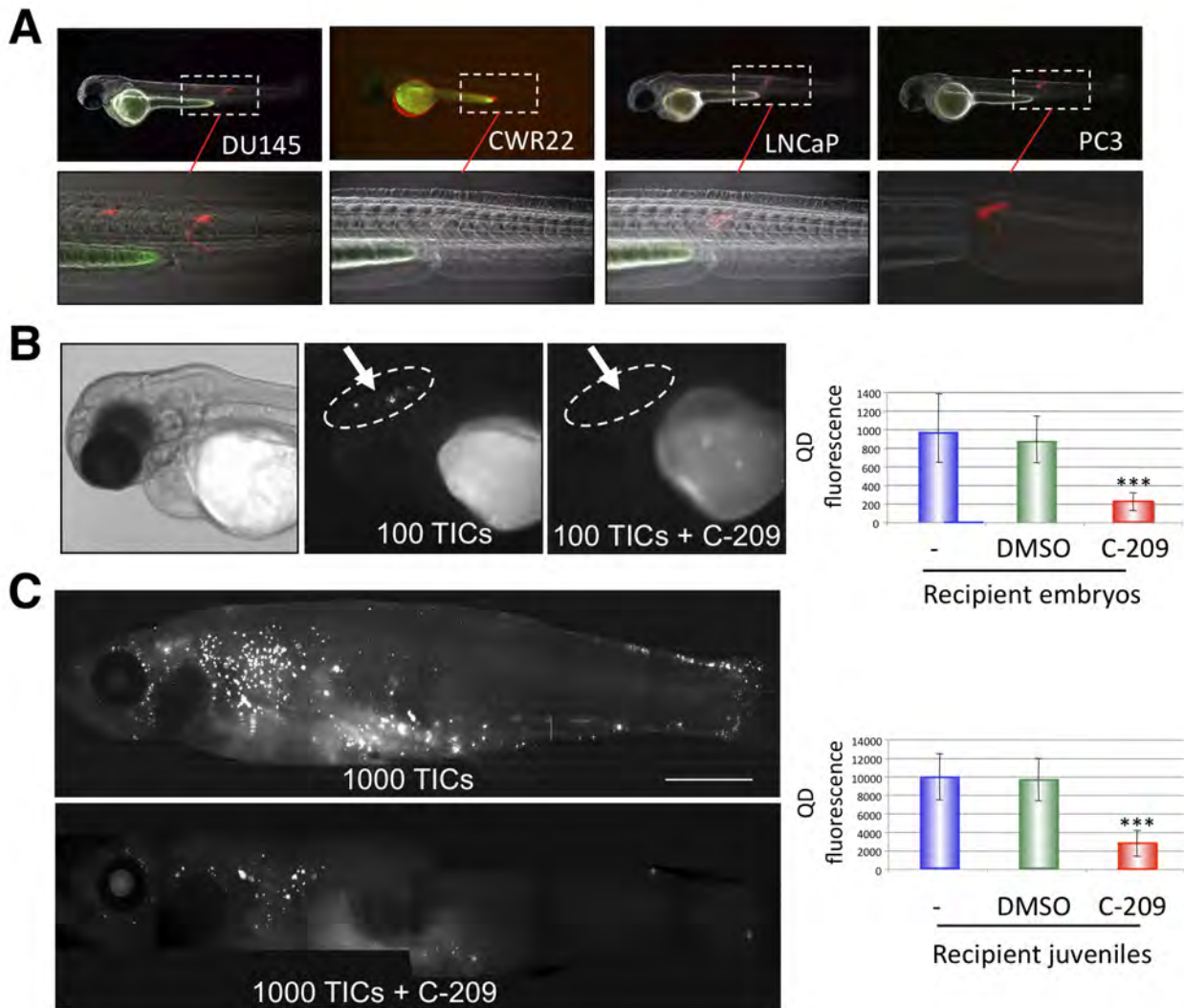
Supplementary Figure S8 Xenografts of primary PCa tissue in zebrafish embryos. (A) Section from primary PCa tissue identified to harbor the TEMPRESS-Erg fusion by FISH (not shown) demonstrates overexpression of Erg (brown) by IHC. (B) Co-localization of Erg (brown) and AMACR (pink) in PCa glands demonstrated by dual IHC staining. (C) Representative embryos transplanted with quantum-dot (QD) labeled primary PCa cells showing tumor formation as measured by red fluorescence at the 605 QD filter. (D) Histological sections from a representative zebrafish embryo at 8 days post-transplantation (dpt). (E) IHC demonstrating expression of Erg in the tumor graft cells (arrow in with higher magnifications in the right panels). (F) A representative zebrafish embryo at 12 dpt of the mirrorimages of primary cells in a demonstrating expression of CD44 in the tumor graft cells (arrow in with higher magnifications in the right panels). (G-H) Co-expression of CD44 and BMI-1 in the tumor graft cells (outlined areas in G and arrows in H). Scale bars are 250 µm in C, D, F, and 100 µm in E and G-H.

A**B**

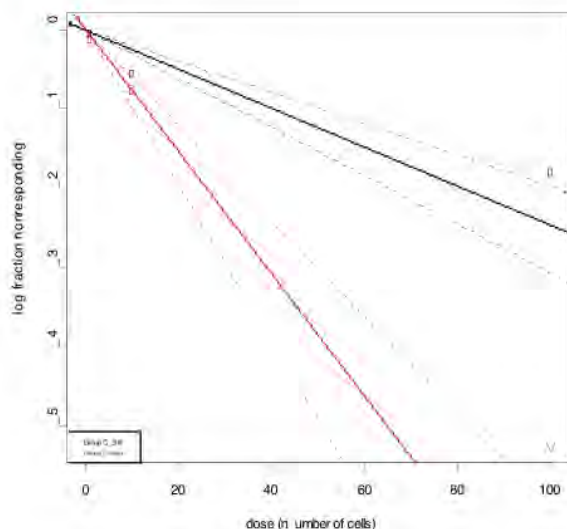
Survival of zebrafish embryos after treatments (%)

	1% DMSO	MTX	Docetaxel	C-209 2 μ M	Docetx+ C-209
24 hpf	100	100	100	100	100
48 hpf	100	100	100	100	100
72 hpf	100	100	100	100	100

Supplementary Figure S9 Toxicology assays of chemotherapy and BMI-1 inhibitors in embryonic zebrafish. **(A)** Bright field images of zebrafish embryos treated with chemotherapy and BMI-1 inhibitors at IC₅₀ concentrations, and compared to vehicle treatment with DMSO. Compounds were added to embryo water after 6 hours post-fertilization (hpf) to examine the effects of these compounds on embryos that will be harboring tumor xenografts upon transplantation at 48-72 hpf and establish background fluorescence for treated embryos in the absence of human tumor cells. Treatment compounds, when used at IC₅₀ concentrations had no notable toxic effects. At least 20 embryos were used in each treatment. **(B)** Survival of fish embryos upon treatment with C-209 and chemotherapy. Data are from two independent experiments utilizing 200 embryos per group.



Supplementary Figure S10 Anti-tumor activity of BMI-1 inhibitors. (A) Representative images of embryos transplanted SC in the tail region with Q-dots-labeled rapidly adherent CD49^{hi}CD29^{hi}CD44^{hi} (TICs) CWR22, LNCaP, PC3, DU145 cells. Non-tumorigenic normal prostate cells were used as control and yielded no tumor formation. Images are overlays of bright field, GFP and red 605 fluorescent images from embryos that developed localized tumors with images taken during tumor development at 4 dpt. (B) Transplantation of 10 TICs resulted in brain metastasis in zebrafish embryos (arrow in outlined area). Exposure of the same embryo to the BMI-1 inhibitor C-209 at 2 μ M in the water for 72 hours reduces the size and fluorescence emitted by DU145 cells growing in zebrafish embryonic brain (compare circled areas before and after treatment). Bright field image of this treated embryo is corresponding to the fluorescent image after treatment. Right graph demonstrates the QD fluorescence emitted by the tumor masses in outlined tumor regions of either untreated, vehicle, or C-209 treated embryos that were measured and displayed in arbitrary fluorescence units reflecting tumor growth or regression after treatment for 72 hours. Data represent 8 independent experiments displayed as mean \pm s.d. derived from three replicate experiments using ≥ 20 embryo/group (* $p < 0.001$). (C) Florescent composite images of whole juvenile zebrafish recipients transplanted with DU145 TICs. Images are lateral views with the head to the left. Transplantation of 500 TICs in conditioned juvenile zebrafish resulted in tumor growth, widespread migration, and metastasis of QD-labeled tumor cells throughout the fish. Treatment with C-209 at 2 μ M in conditioned water for 5 days reduced the size and fluorescence emitted by DU145 cells. The graph demonstrates QD fluorescence emitted by tumor masses in juvenile fish that are either untreated, vehicle or C-209 treated that were measured, and displayed in arbitrary fluorescence units reflecting tumor growth or regression. Data are displayed as mean \pm S.D. derived from three experiments using 3 juvenile fish/group (* $p < 0.001$).



Confidence intervals for 1/(stem cell frequency)

	Lower	Estimate	Upper
Control	16.5	12.9	10.2
C-209	50.6	40.6*	32.6

Differences in stem cell frequencies between the groups. *P value equals 4.9×10^{-12} .

	Colony Formation	
Number of cells plated	Control	C-209
1	10/96	3/96
10	51/96	40/96
100	96/96	80/96

Supplementary Figure S11 Colony-formation frequency evaluated by dilution analysis of xenograft-derived cells from untreated mice and mice treated with C-209 60mg/kg/day. Data were analyzed using ELDA software (<http://bioinf.wehi.edu.au/software/elda/>). Upper panel: A log-fraction plot of the dilution model fitted to the data in the lower table. The slope of the line is the log-active cell fraction. The dotted lines give the 95% confidence interval. The data value with zero negative response at corresponding dose is represented by a down-pointing triangle.

Supplementary Methods

Western blot (WB) analyses

Pelleted cells were lysed and 50 µg of total proteins were separated on SDS PAGE gels and analyzed using: mouse monoclonal anti-BMI-1 clone F6 against the N-terminal (1:1000) (Millipore), rabbit polyclonal anti-BMI-1 SDI against the C-terminal (1:2,000) (SDI), mouse monoclonal anti-ubiquitinyl (γ)-histone H2A clone E6C5 (1:1,000) (Millipore), rabbit polyclonal anti-H2A (total) (1:1,000) (Millipore), anti-β-tubulin (1:5000) (Millipore), rabbit polyclonal anti-β-actin (1:10,000) (Rockland) and rabbit polyclonal anti-vinculin (1:1000) (Cell Signaling).

Genetic modulation of BMI-1 expression

For BMI-1 knockdown, DU145 cells were transfected with GIPZ Bmi-1 shRNA construct (Open Biosystems) using lipofectamine. Bmi-1 shRNA positive cells were selected in media with 0.5 mg/ml of puromycin. Selection was carried out in the presence of puromycin 5 µg/ml (Sigma). For BMI-1 overexpression, HEK 293T cells were transfected with pMcs-Bmi1-IRES-GFP retroviral vector along with packaging plasmids using the calcium phosphate method. The viral supernatant was used to infect DU145 cells, which were then selected through EGFP expression by cell sorting.

Migration assay

Cell migration was assessed in 24-well transwell boyden chambers (Costar Scientific Corporation, Cambridge, MA). PCa cells treated with docetaxel (2.5nM) and C-209 (2µM) for 96h were washed twice and replated in fresh medium without treatments for an additional 3 days. Consequently, 2×10^4 cells/well were suspended in complete growth medium and placed into upper chambers. After 24 hrs, migrated cells were stained with Comassie Brilliant Blue and counted under the microscope.

Soft agar colony forming assays

To evaluate the fraction of self renewing cells, 500 cells were plated in the top agar layer in each well of a 24-well culture plate with 0.3% top agar layer and 0.4% bottom agar layer (SeaPlaque Agarose, Cambrex, NJ). Cultures were incubated at 37°C for 20 days. Colonies were stained after 3 weeks with crystal violet (0.01% in 10% MetOH), visualized and counted under microscope and photographed. For DU145 and RWPE colony formation assay, cells were treated with DMSO and C-209 (1µM, 2µM, 4µM, 8µM, 12µM). Colonies were enumerated over a period of 2 weeks. To perform clonogenic assay in soft agar *ex vivo*, DU145 Luc2EGFP xenograft-derived cells were isolated once tumors were aseptically removed and dissociated. Cells recovered were extensively washed and sorted for EGFP expression; next, 500 fluorescent cells for each treatment condition were plated as described above. After 20 days, colonies were visualized and counted.

Soft agar colony forming assays were carried out for primary PCa cells pre-treated with docetaxel (2.5nM) and C-209 (2µM) for 96h. Next, cells were collected, washed and replated in fresh medium in the absence of treatments for additional 3 days. Subsequently, cells were washed and 500 single cells were plated in the top agar layer in each well of a 24-well culture plate with 0.3% top agar layer and 0.4% bottom agar layer (SeaPlaque Agarose, Cambrex, NJ). Cultures were incubated at 37°C for 20 days. Colonies from triplicate wells were stained with crystal violet (0.01% in 10% MetOH), visualized and counted under microscope and photographed.

Cell viability assays

For chemosensibility studies, 5×10^3 cells/well control vector-transduced (Sh-Scr) and BMI-1-depleted (shBMI-1) cells were plated in 96-well plates and treated with Docetaxel (2.5nM) or metotrexate (10nM) or the following concentrations of C-209: 0.0195, 0.0391, 0.0781, 0.1560, 0.3125, 0.6250, 1.25, 2.5, 5, 10, and 20 µM for 72hrs. Cell viability was always evaluated after 72hrs through MTS assay (Promega) following manufacturer's instructions. For primary cell survival assays, primary PCa cells were treated with docetaxel (2.5nM) or C-209 (2µM) for 4 days. Next, cells were collected, washed and replated in fresh media without treatments for an additional 3 days. On day 7, cells were collected and counted by Trypan Blue exclusion.

Cytotoxicity assays

Cytotoxicity of C-209, C-210, and C-211 compounds (PTC therapeutics) and methotrexate, doxorubicin and docetaxel were assayed following a 3-day exposure. DU145 Cells (3×10^3 cells/well) were treated with multiple concentrations to determine an IC_{50} , and toxicity analyzed using MTS assay (Sigma) per manufacturer's instructions. IC_{50} concentrations were determined using Hill's equation in Graph-Pad prism 4.0 software.

β -gal assay for senescence

Senescence experiments were performed in two 6-well plates for each treatment. Percentage of senescent cells was determined based on counts of 1,000 cells per treatment. Treatment with C-209 resulted in significant increase in senescence of DU145 cells that was detected with β -gal staining. Cells were fixed in 4% paraformaldehyde, washed in PBS pH 7.4 followed by PBS pH 6.0 for one hour each. Fixed cells were then stained with 2 μ g/ml x-gal (Sigma) overnight at 37°C and washed with PBS pH 6.0. Cells were imaged and staining was quantitated using Adobe Photoshop and ImageJ. The β -gal staining intensity was measured using the average intensity density of hue saturation.

In vitro prostasphere assay

Prostate tumor cell spheroid assay from cells lines was performed based on established methods ^[1-3], while we ^[4] and others ^[5-7] have previously described the spheroid assay from primary human prostate cancer cells. Prostate cells were counted and re-suspended at 2×10^3 cells/well in KSFM media and plated on 1% agarose coated plates. The cellular suspension was then plated in the well on 12-well plates and incubated at 37°C for 30 min. One milliliter of defined media was then added to each well and plates were replaced in 37°C incubator. For dissociation and passage of prostaspheres, incubation for one hour in 1 mg/ml Dispase (Invitrogen) was performed. Spheres were collected, washed in RPMI, and trypsinized (TripLE 200microliters/12-well plate). Every 3 days, half of the media was replaced and prostaspheres of >50 μ m in diameter and consisting of >50

cells were counted on day14. Prostate cells obtained from dissociated primary prostaspheres remained viable after freeze/thaw, with formation of new prostaspheres that could be serially passaged. Dissociated prostaspheres could be passaged >3 generations. Single cells from day-7 prostaspheres were used in secondary and tertiary spheroid assays, and secondary or tertiary prostaspheres with size and morphological features similar to primary prostaspheres were counted.

Docking of C-209 to the human BMI-1 RNA

The interaction energy scores (E_{int}) are used to estimate the binding energy in the UCSF DOCK scoring of C-209 with BMI-1 RNA ^[8]. The docking was performed keeping the RNA structure rigid while permitting flexibility and full rotation and translation in the small molecule ^[8]. The imidazo-pyrimidine ring of C-209 mimics the purine ring of guanine, therefore, guanine was used as a reference. UCSF DOCK scores were E_{vdw} (kcal/mol), E_{elec} (kcal/mol), and E_{int} (kcal/mol), were generated using the following equation: $E_{\text{int}} = E_{\text{vdw}} + E_{\text{elec}}$. The lower the interaction energy score; the more stable the complex contacts with the RNA due to complete fitting into the binding pocket. C-209 UCSF DOCK scores were E_{vdw} (kcal/mol) -60.6, E_{elec} (kcal/mol) -5.2, and E_{int} (kcal/mol) -65.8, as compared to guanine scores of E_{vdw} (kcal/mol) -36.4, E_{elec} (kcal/mol) -4.1, and E_{int} (kcal/mol) -40.5, respectively, when $E_{\text{int}} = E_{\text{vdw}} + E_{\text{elec}}$. The lower the interaction energy score; the more stable the complex ^[9]. Therefore, C-209 is predicted to form the most stable complex with BMI-1 RNA. Guanine has a higher E_{vdw} energy owing to its inability to have more van der Waals contacts with the RNA due to its smaller and less complete fitting into the binding pocket.

Flow cytometric analysis

Cells were treated with C-209 2 μ M. After 72hrs, treated and untreated cells were stained with a propidium iodide (PI) staining solution (trisodium citrate 0.1%, NaCl 9.65 μ M, NP40 0.3%, PI 50 μ g/ml and RNase A 200 μ g/ml) for 30 min at RT. Cell cycle profile was acquired with a BD FACSCalibur flow cytometer (Becton Dickinson) and analyzed with FlowJo software (Tree Star Inc.; <http://www.flowjo.com/index.php>).

Cell sorting

For cytofluorimetric analyses, PCa cells were washed in 1xPBS, 2% FBS and 0.01% sodium azide and stained with isotype controls or anti-CD44-APC (Miltenyi) and anti-CD49b/CD29-FITC (BD). Cells were also stained with 7AAD to exclude dead cells. The effects of C-209 (2 μ M) on CD44 and CD49b/CD29 expression were assayed in DU145, PC3 and CWR22 and patient-derived cells (Patients # 28869, 33020, 33120, 33072, and 33106) after 72hrs of treatment. Acquisitions were made using a BD FACSCalibur flow cytometer (Becton Dickinson, Franklin Lakes, NJ) and analysed using cell quest software. Cell sorting was performed on cells stained with CD44-APC or CD49b/CD29-FITC and sorted using Influx High Speed Cell Sorter (BD Biosciences). Sorted cells were washed with PBS and treated as needed for the different experiments.

CD34⁺ and DU145 colony forming assay

CD34⁺ cells were isolated from cord blood samples (Elie Katz umbilical cord blood banking center, NJ) using MACS magnetic column separation system (Miltenyi). Briefly, cells were magnetically labeled with CD34⁺ microbeads, and run twice through magnetic columns to increase purity. Cell viability and purity were assessed by flow cytometry. Purified CD34⁺ cells were supplemented with IL-3, rhTPO (Kirin brewery), and FLT3-L (Peprotech) cytokines. Cells were suspended at 3 x 10³ concentration in one ml of methocult (Methocult GF H4434; Stem cell technologies). Both CD34⁺ and DU145 cells were treated with DMSO and C-209 (1 μ M, 2 μ M, 4 μ M, 8 μ M, 12 μ M). Colonies were enumerated over a period of 2 weeks.

Microscopy and peripheral blood analyses

To evaluate bone marrow cellularity, histologic sections were stained with hematoxylin/eosin. For May-Grünwald-Giemsa staining, mice were sacrificed at the end of the treatment, femurs were harvested and marrow flushed with a 23G (0.45 × 10 mm) syringe needle to collect single-cell suspensions. Bone marrow sections and blood May-Grünwald-Giemsa-stained cells were analyzed with a Zeiss Axiostar Plus microscope equipped with an A-Plan 10× dry objective (numerical aperture: 0.25) and an A-Plan 100× oil objective (numerical aperture: 1.25), respectively (Zeiss). Images were taken with a Canon Power Shot G9 camera. Peripheral blood

was obtained from cardiac puncture bleeding of mice treated with either vehicle or C-209 60mg/kg/day for 2 weeks. Blood was dripped directly after removal into tubes containing 0.5 mol/L EDTA. Peripheral blood parameters were analyzed at a reference hematology laboratory (ANTECH Diagnostics) within 4 hours from bleeding.

Immunohistochemistry

For IHC, fixed paraffin-embedded tissue samples from human prostate tumors were stained with anti-BMI-1 antibody (Cell Signaling) following antigen retrieval. Sections were scored for percentage of positive cells as well as intensity on a 0-3 scale by pathologists blinded to treatment. Slides were analyzed with a Zeiss Axiostar Plus microscope equipped with an A-Plan 10× dry objective (numerical aperture: 0.25) and images were taken with a Canon Power Shot G9 camera.

Zebrafish Drug Treatments

The following compounds were diluted in egg water: C-209, Cycloheximide (CHX), Docetaxel, Methotrexate (MTX), DMSO, and Ethanol. For all treatments, fish were incubated at the normal maintenance temperature of 28.5°C for a 3-days toxicity test. Viable embryos staged at 12 hours post fertilization (hpf) were counted into 12-well plates; all egg water removed and 2mL of treatment water added. Bright field images were taken on 1dpf, 2dpf and 3dpf with a Canon Powershot digital camera adapted to a Zeiss Stemi 2000-C microscope. Six-week old zebrafish were placed in 4ml treatment water (drug diluted in fish system water) at 2 fish per well in a 12-well plate. For adults only treatment water was changed daily due to debris accumulation in the wells.

Transplantation of human prostate cancer cells in zebrafish

Cells were resuspended in 0.5x Dulbecco's PBS (DPBS) containing QD605 (red fluorescence) (QD605; Invitrogen) and lipofectamine at a ratio of 1:2 for 2 hours. Cells were suspended in 0.5x DPBS for transplantation into dechorionated and anesthetized (0.5x tricaine methanesulfonate, MS-222; Sigma) 48-hour

post fertilization (hpf) embryos using 15 μm (internal diameter) injection needles. Injections were either subcutaneously (SC), or above the yolk into the sinus venosus using a Celltram microinjector. After transplantation, embryos were incubated for 2 hours at 37°C, and were then maintained in a humidified incubator at 33°C. Human cells were monitored under fluorescent microscopy for homing and tissue repopulation.

Limiting dilution assay

Limiting dilution assays were performed on EGFP-sorted xenograft-derived cells. Briefly, xenografts from C-209 treated and untreated mice were removed and enzymatically dissociated. Recovered cells were extensively washed and sorted for EGFP in order to exclude any non human tumor cells; subsequently cells were plated at the density of ~1, 10, 100 and 1000 cells/well in 96-well plates under standard growth conditions. After 14 days, colonies were fixed and stained (20% methanol fixation followed by 0.1% crystal violet staining). Clonogenic capability was assessed visually under the microscope. Wells containing no colonies were excluded for the analysis. Tumor-formation frequency was evaluated by using Extreme Limiting Dilution Analysis (ELDA) software (<http://bioinf.wehi.edu.au/software/elda/index.html>).

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